

**THE FORUM**  
For Collaborative Research<sup>SM</sup>

# Phase 3 Trial Endpoints

**Gideon Hirschfield, *University of Toronto***

**Berkeley** Public  
Health

# Phase 3 Trial Endpoints

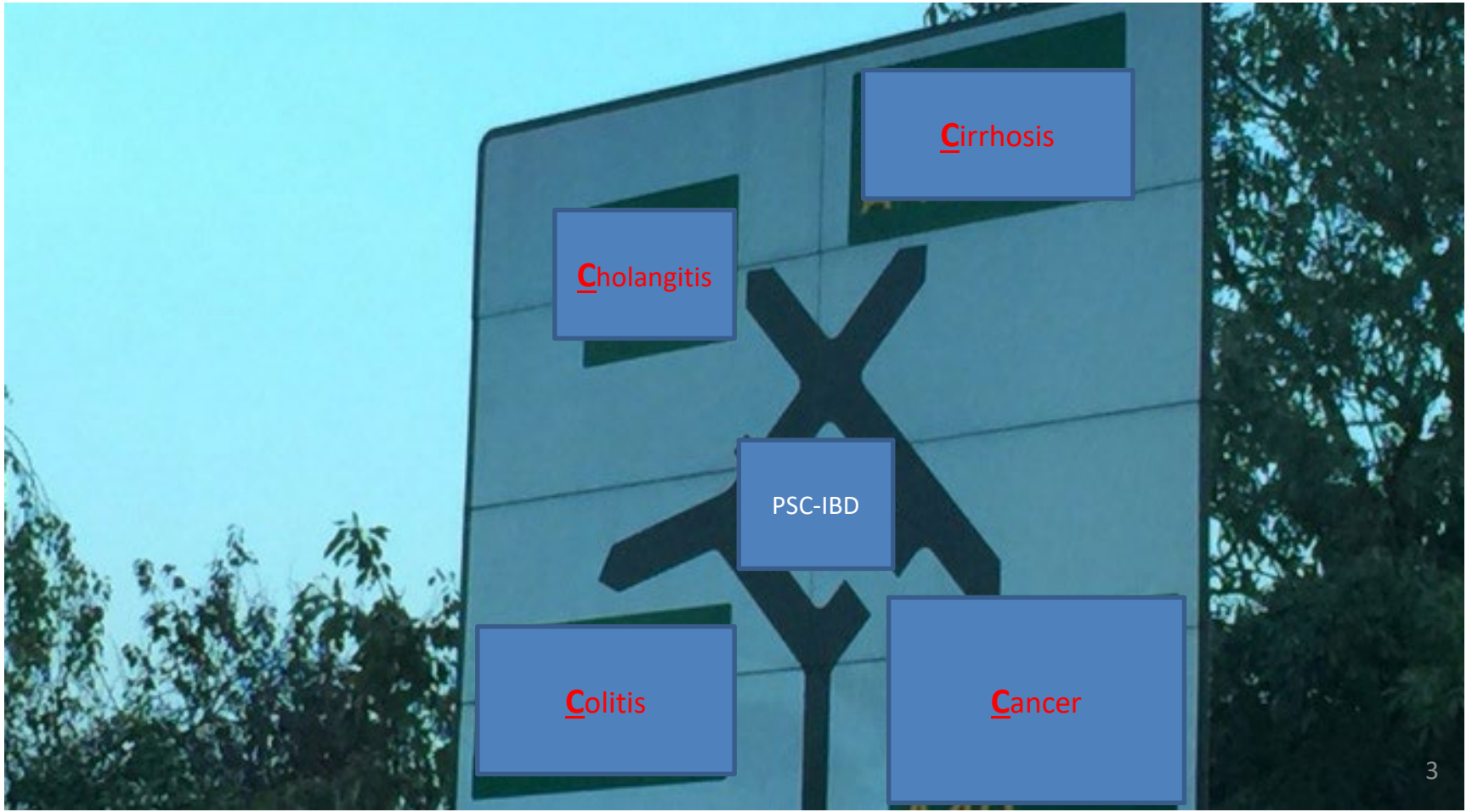
*PSC Forum, September 2019*

Gideon Hirschfield

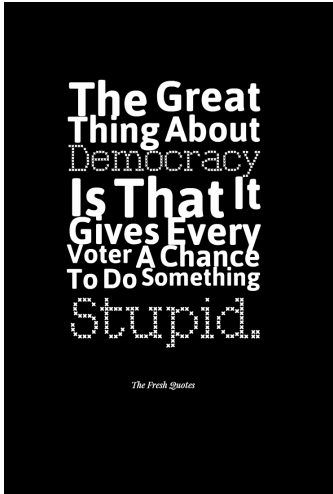
@Autoimmuneliver

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"If it looks good, you'll see it. If it sounds right, you'll feel it. If it's marketed right, you'll buy it.  
But...if it's real, you'll feel it." – Kid Rock "Let's rock on."



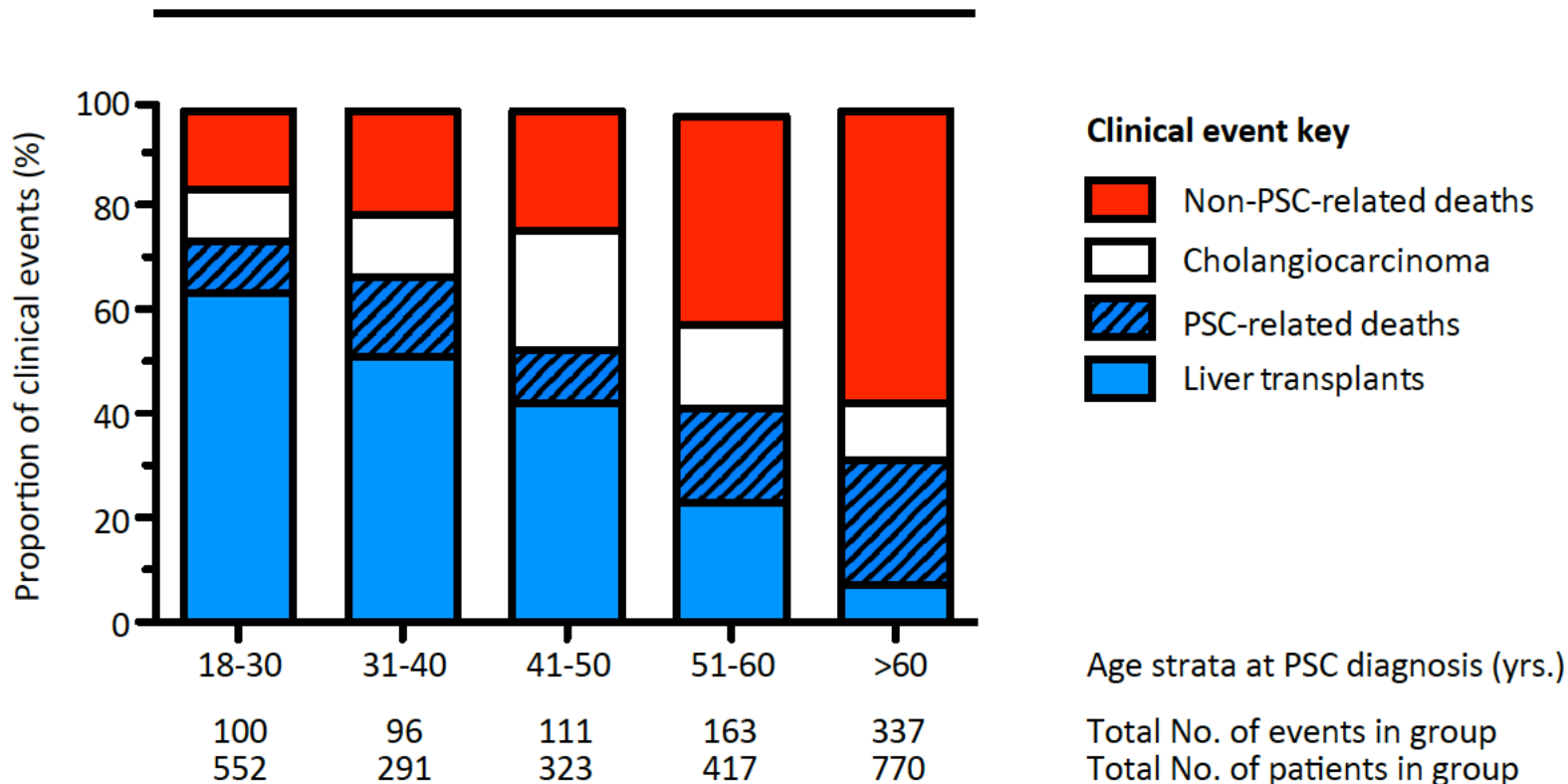
# Hepatologists keep trying to leave UDCA zone..



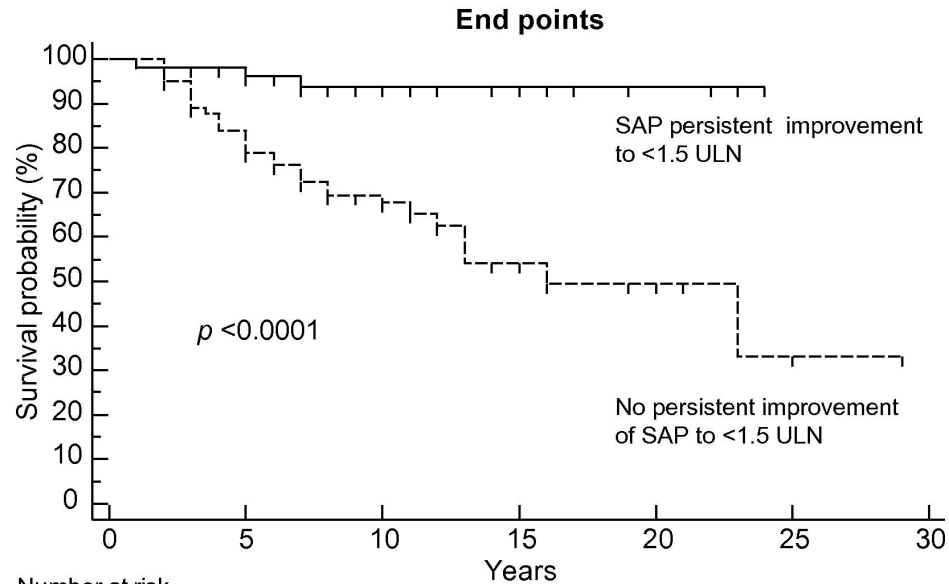
- “UDCA does work; the trials are just wrong”
- “We all know the trial is not going to be positive’
- “We can’t take part in that trial because patients won’t agree to biopsies...”
- “But clearly the disease is a consequence of xx so you can’t treat with...”
- “I believe in early adoption as it is obvious yy works...”
- **”What is the pathway to approval?”**

# The proportion of first clinical events attributable to liver transplantation, PSC-related death, cholangiocarcinoma and non-PSC-related death

Chi square = 181.0; P<0.001



# Survival in PSC and serum ALP values



Number at risk

Group:

No SAP improvement to <1.5 ULN

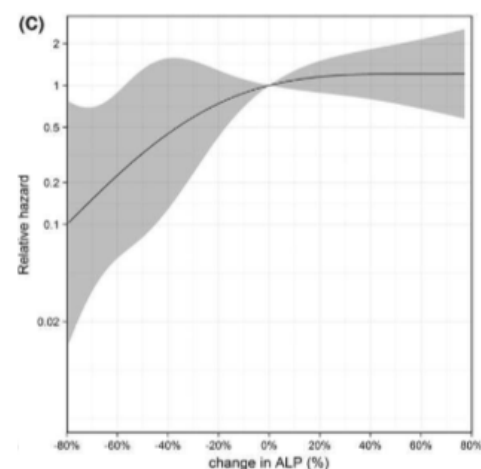
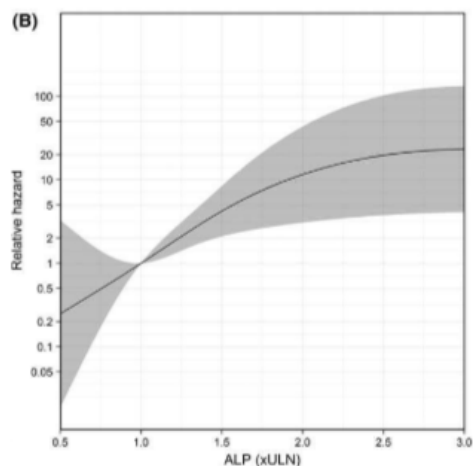
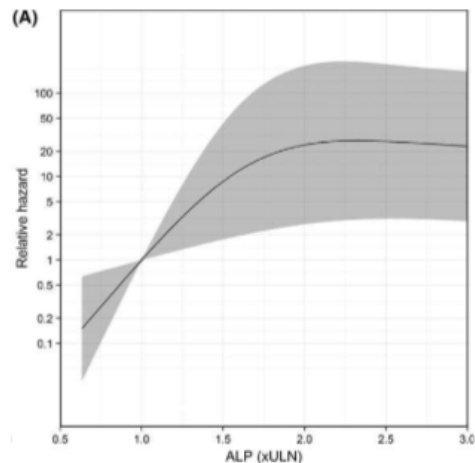
84	60	29	12	4	1	0
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Group:

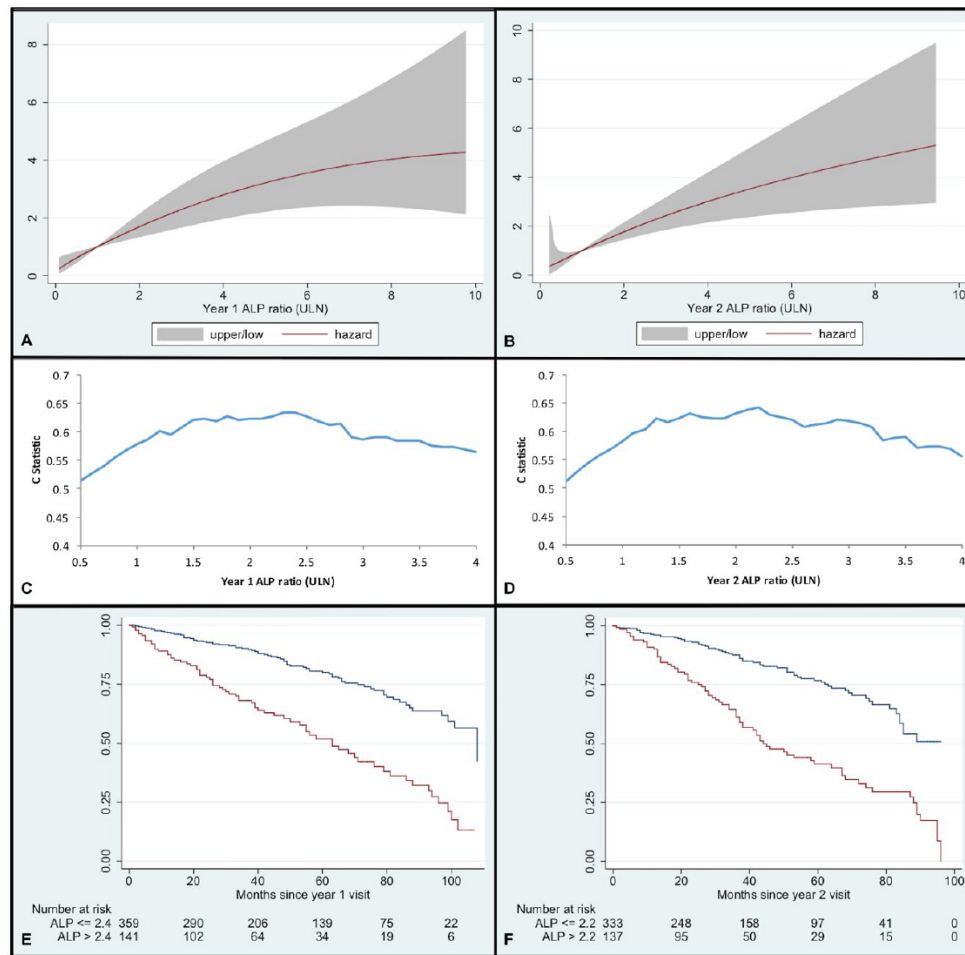
SAP improvement to <1.5 ULN

55	46	22	12	5	0	0
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- Retrospective study, 366 patients with PSC were followed for a median of 100 months (67,150)
  - 66 (18%) had an outcome of PSC related death or liver transplant
- Hazard ratio increased with increasing ALP in a range from 0.5-2.5xULN at both T0 (Fig A) and T1 (Fig B), and patients with a reduction in ALP from T0 to T1 also had a reduction in hazard ratio (Fig C)
  - In this cohort of patients the optimal cutoff was found to be ALP <1.3xULN

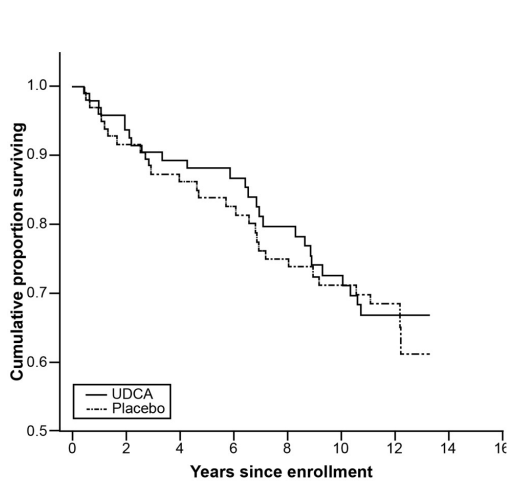


# Predictive value of ALP and outcome





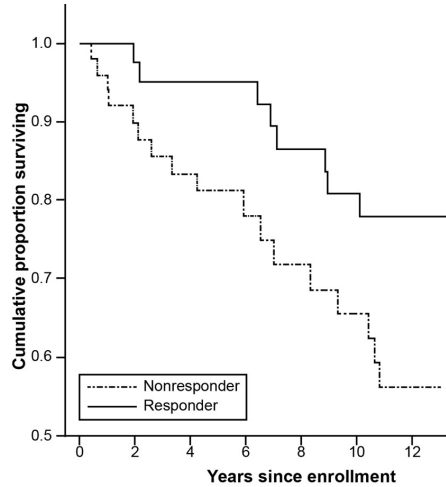
# Association Between Reduced Levels of Alkaline Phosphatase and Survival Times of Patients With Primary Sclerosing Cholangitis



**Numbers at risk**

Years	0	2.5	5	7.5	10	12.5
<b>UDCA</b>	97	84	78	56	51	20
<b>Placebo</b>	101	84	72	59	56	19

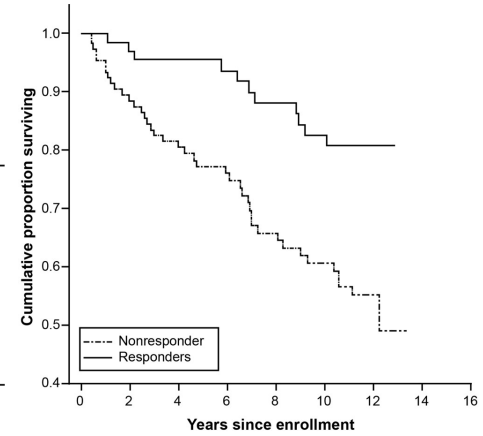
198 patients enrolled in the 5-year Scandinavian UDCA trial in 1996 randomized to UDCA vs placebo with extended follow-up



**Numbers at risk**

Years	0	2.5	5	7.5
<b>Responder</b>	43	40	34	24
<b>Nonresponder</b>	51	45	35	19

UDCA-treated patients with a biochemical response (ie, normal or  $\geq 40\%$  reduction in ALP after 1 year in the trial) vs nonresponders

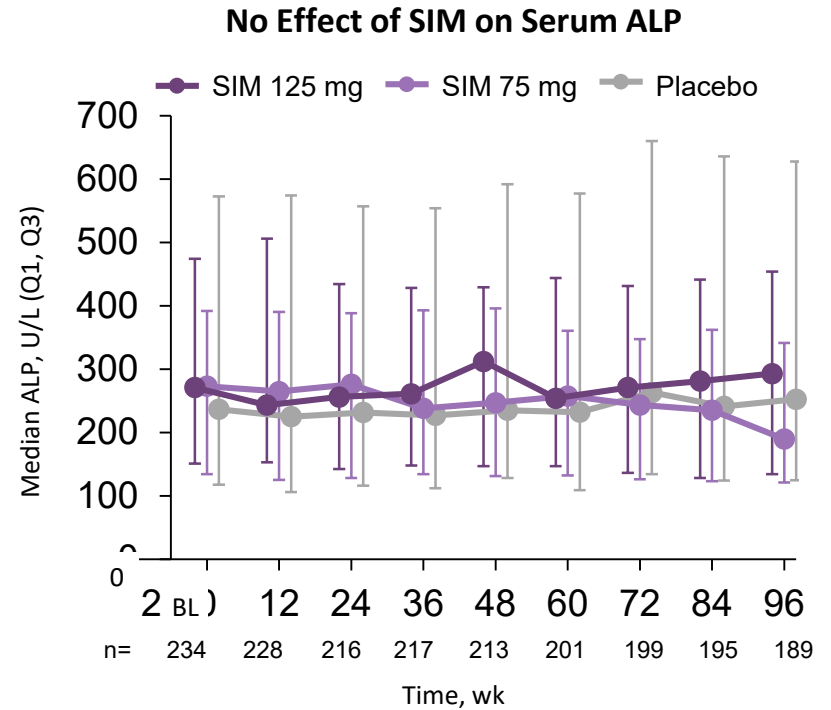
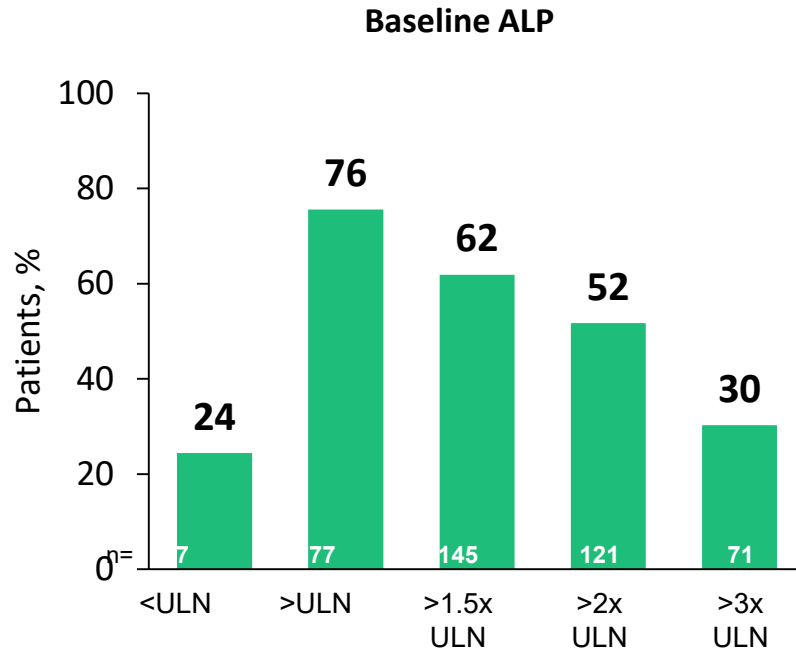


**Numbers at risk**

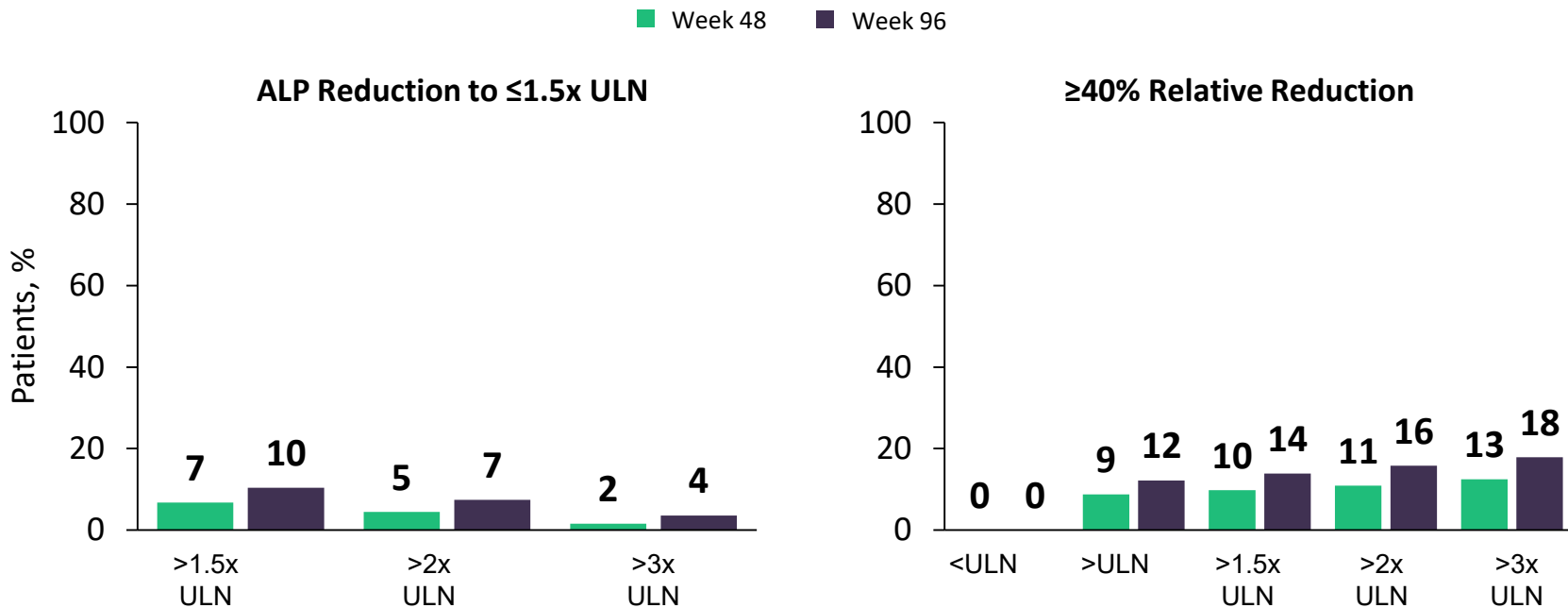
Years	0	2.5	5	7.5	10	12.5
<b>Responders</b>	79	72	69	56	53	17
<b>Nonresponders</b>	116	93	78	56	52	21

Biochemical responders vs nonresponders, regardless of treatment with UDCA ( $P = .0001$ , log-rank test)

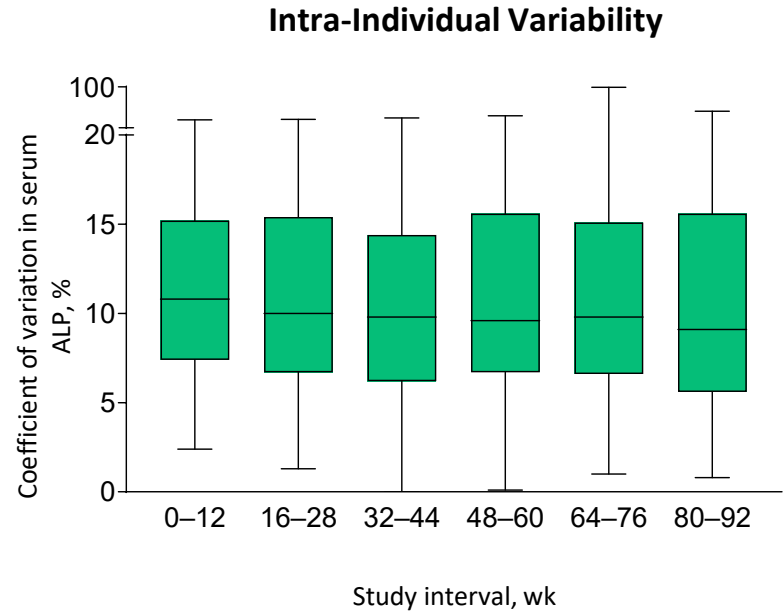
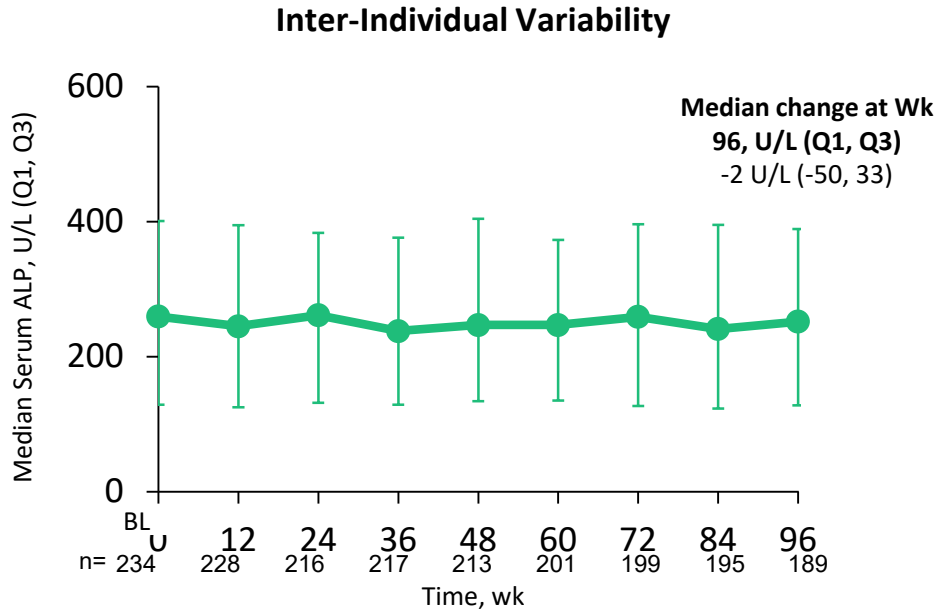
# Serum ALP in SIM study



# Spontaneous Reductions in Serum ALP

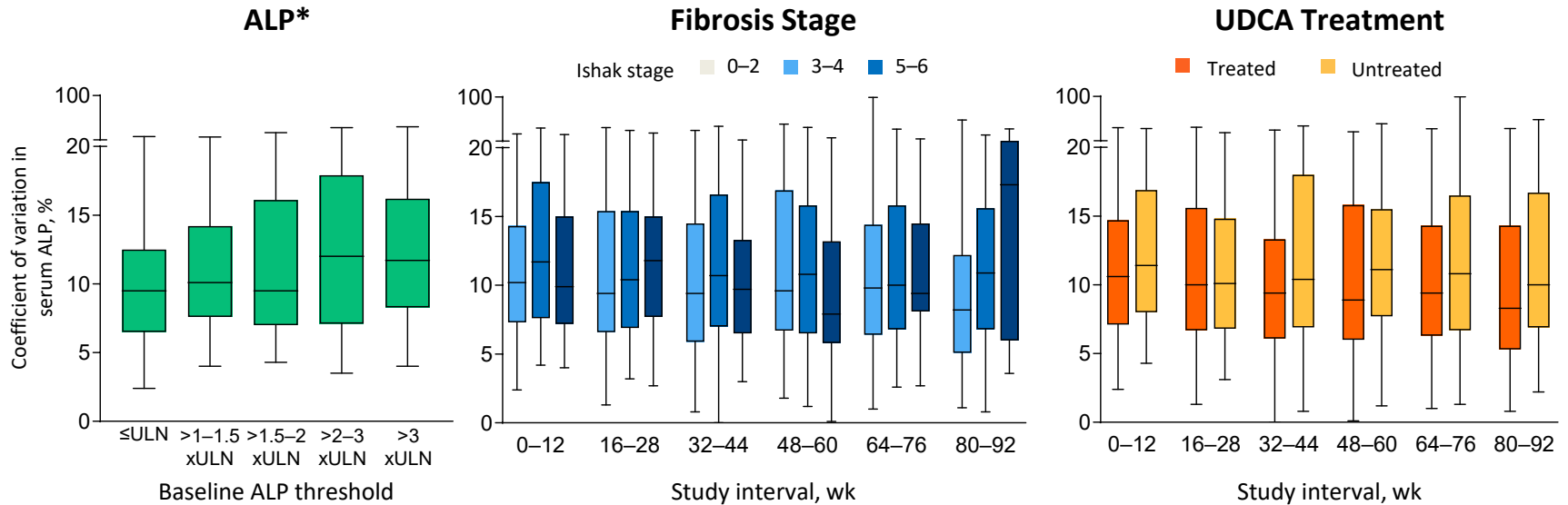


# Serum ALP is Widely Variable



- Overall, ALP did not change between baseline and Wk 96
- Median per-patient CV was 11.5% (IQR 8.9, 14.2), but varied widely

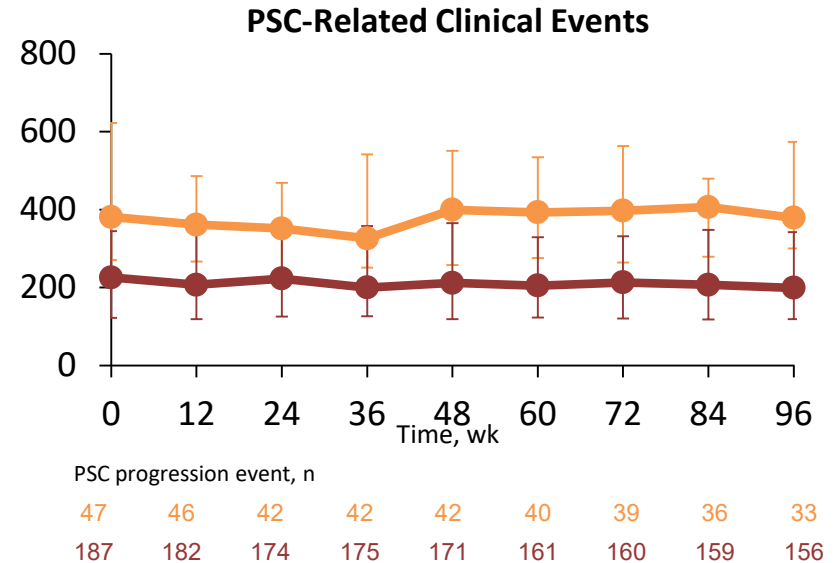
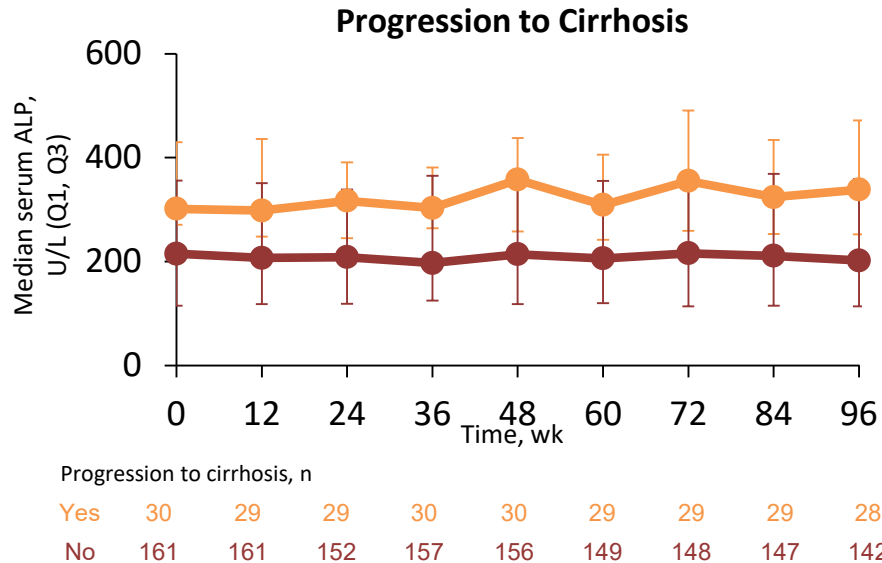
# Impact of Baseline Factors on ALP Variability



- **Variability in serum ALP was not influenced by baseline ALP, fibrosis stage, UDCA treatment, IBD phenotype, extent of ductal involvement, history of ascending cholangitis, or treatment arm**

\* Data for study interval Wk 0-12.

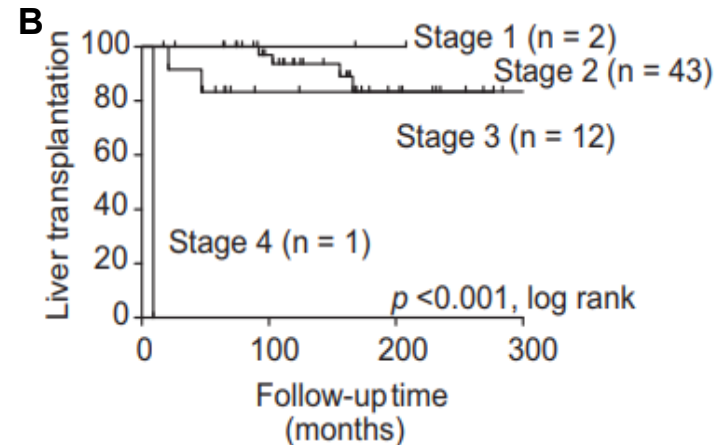
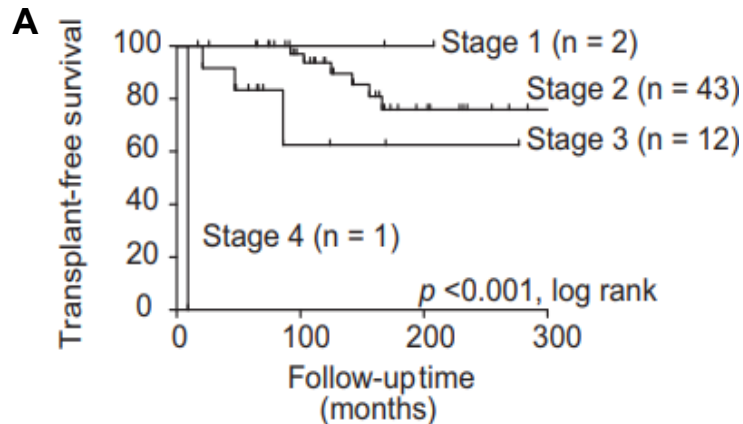
# Prognostic Utility of Serum ALP

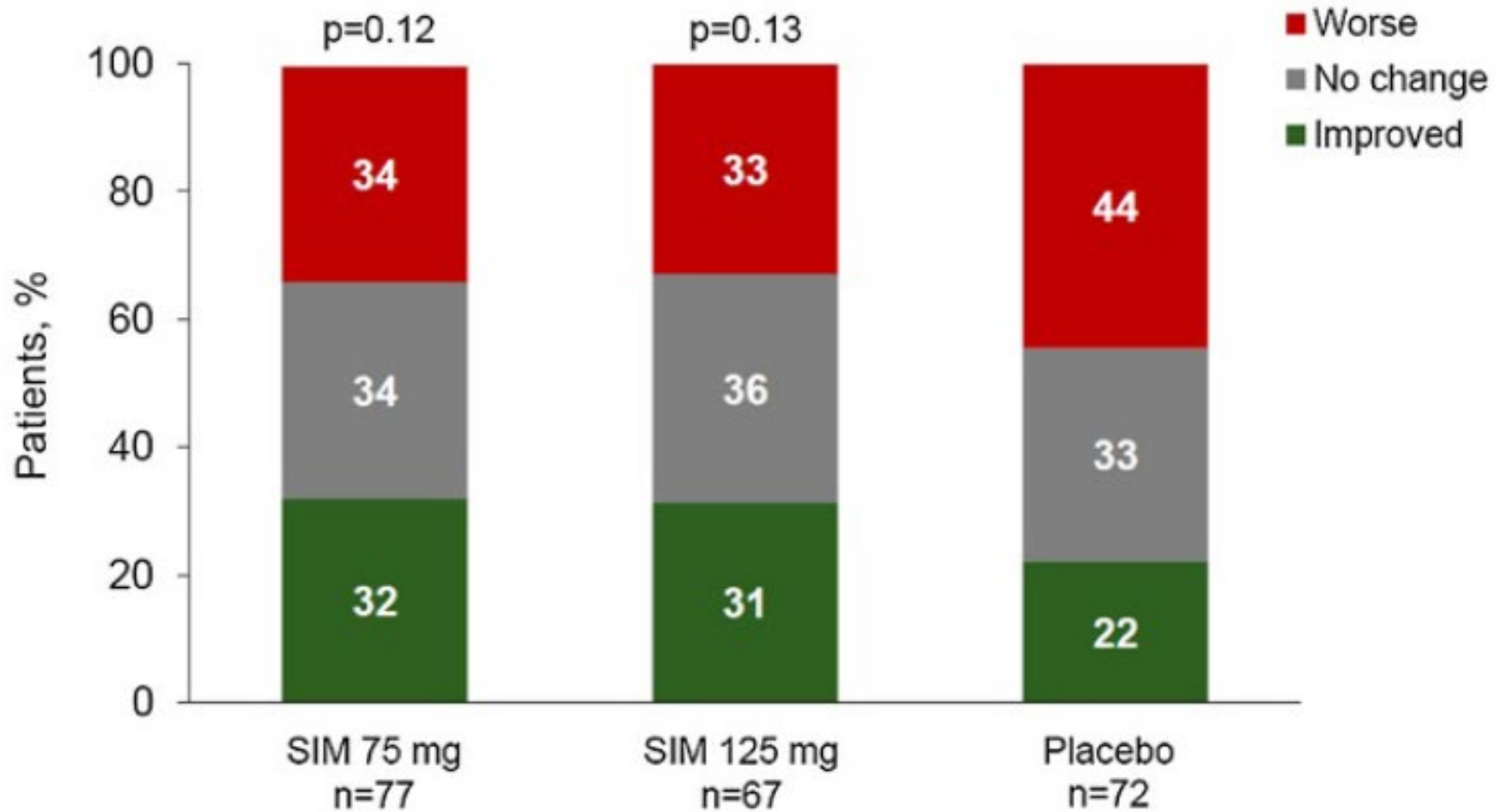


- **Baseline serum ALP was associated with:**
  - Progression to cirrhosis (OR per 10-U/L: 1.02; 95% CI 1.00, 1.03)
  - PSC-related clinical events (HR per 10-U/L: 1.02; 95% CI 1.01, 1.02)
- **Changes in serum ALP from baseline to Wk 12, 24, and 48 were not prognostic**

# Liver histology and PSC outcome

- 4 observational publications with long-term follow-up comprising 826 cases demonstrated that Ludwig stage was independently associated with death/Ltx
- de Vries et al. assessed the prognostic value of Ludwig, Ishak, and Nakanuma scoring systems in 64 patients with PSC with a median follow up of 112 months
  - Outcomes included PSC related death, PSC related malignancies, LTx and cirrhosis-related symptoms
  - In univariate analysis, Ishak, Nakanuma and Ludwig stage all associated with transplant free survival and time to liver transplant but not cirrhosis related symptoms (Nakanuma KM Shown below)
  - Nakanuma staging had a larger hazard ratio than Ishak/Ludwig





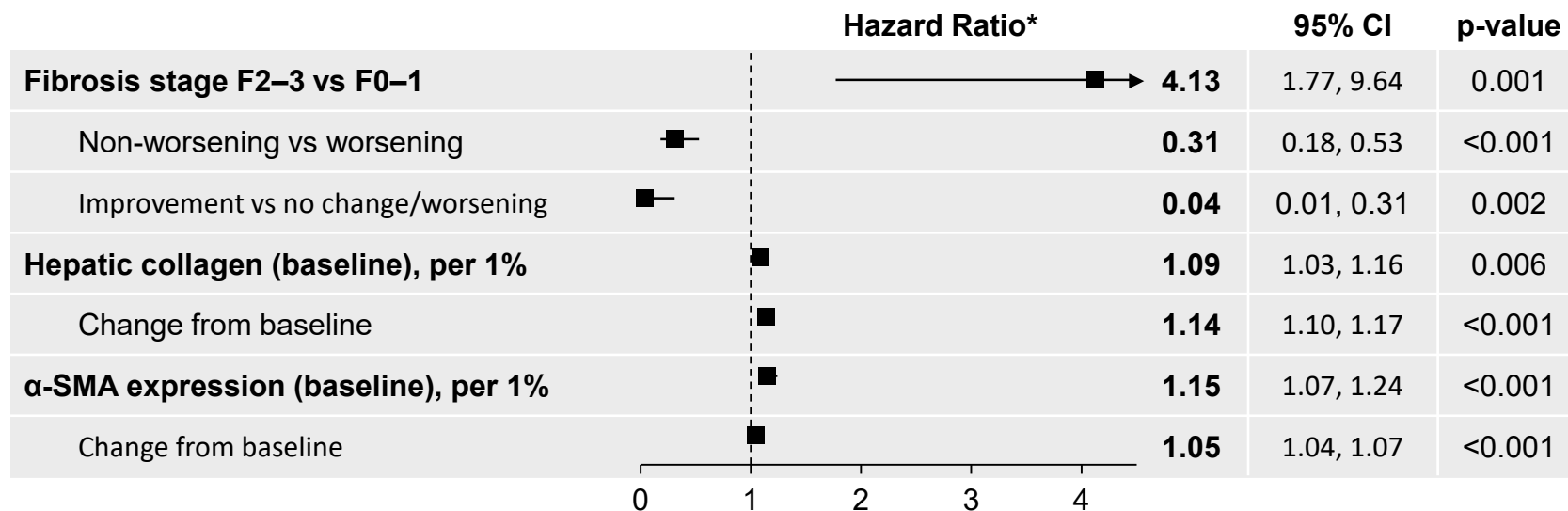


# Changes in Ludwig Fibrosis Stage

		Ludwig Stage at Week 96				
		F0 n=14	F1 n=43	F2 n=39	F3 n=49	F4 n=28
Baseline	n (%)					
	F0 n=17	6 (35)	9 (53)	2 (12)	0	0
	F1 n=34	5 (15)	12 (35)	12 (35)	3 (9)	2 (6)
	F2 n=48	2 (4)	14 (29)	13 (27)	16 (33)	3 (6)
F3 n=74	1 (1)	8 (11)	12 (16)	30 (41)	23 (31)	

- ◆ **Fibrosis progression in 40% and fibrosis regression in 24% between baseline and Week 96**
  - **Progression to cirrhosis in 16%**

# Associations Between Histologic Features and Disease Progression

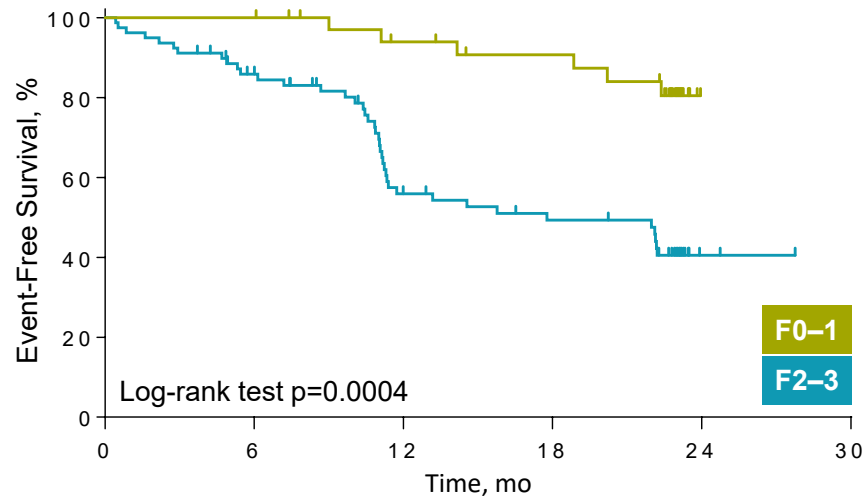


- **Increased risk of events associated with:**
  - **More severe fibrosis at baseline (F2–3; greater collagen and α-SMA expression)**
  - **Worsening of fibrosis (by Ishak stage, collagen content, α-SMA)**

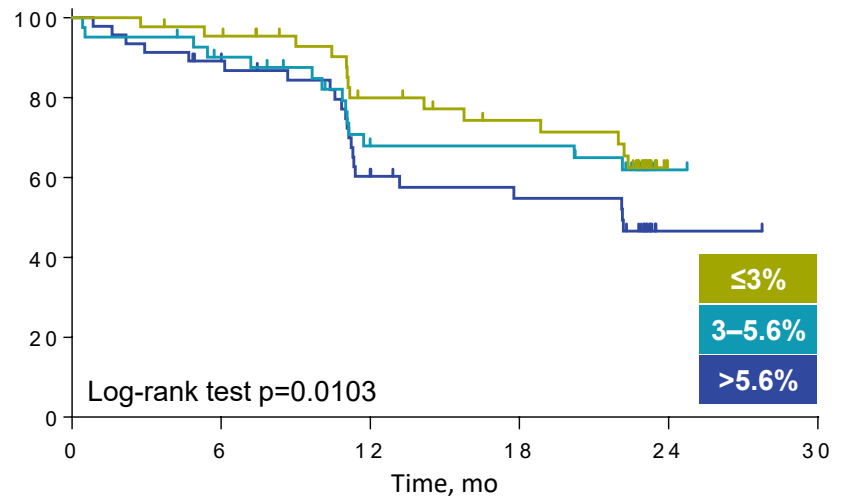
\* Separate multivariate models run with baseline and change from baseline for each variable. Hazard ratios for changes from baseline adjusted for baseline value.

# F2-3 Fibrosis and Greater Hepatic Collagen Associate with Increased Risk of Disease Progression

### Fibrosis Stage



### Hepatic Collagen

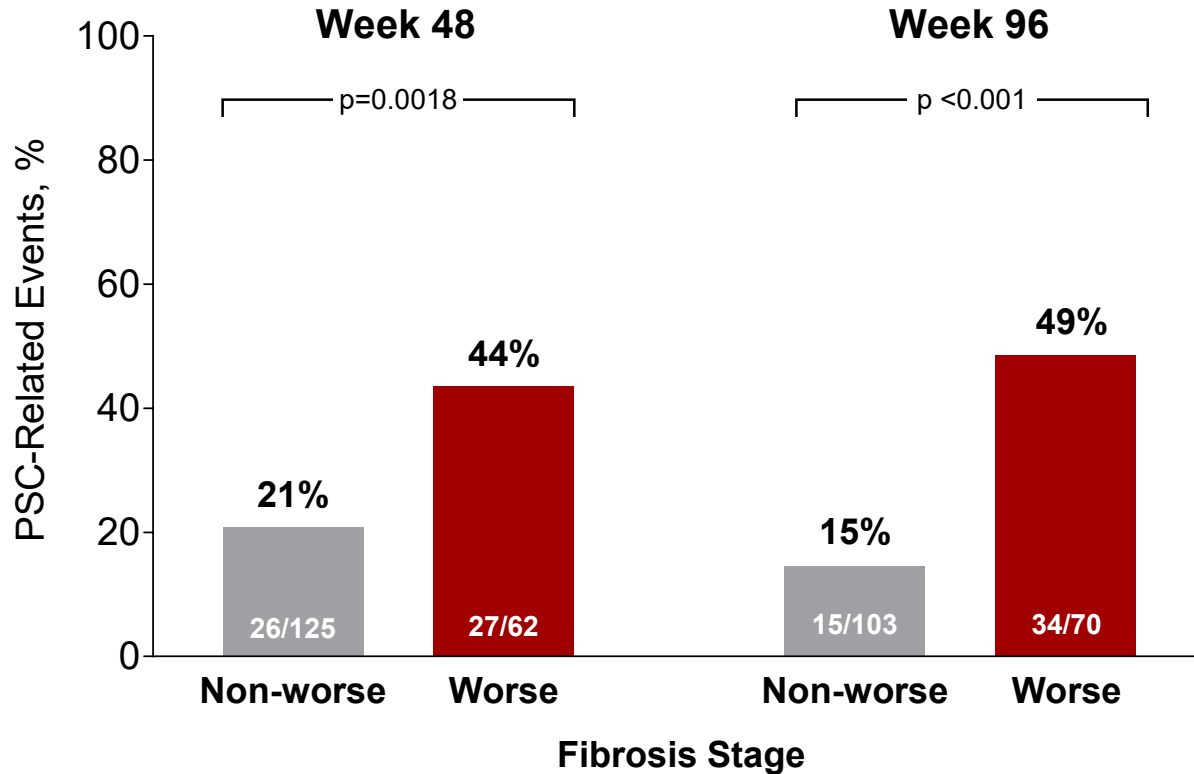


N at risk  
(Events)

60 (0)	60 (0)	60 (0)	57 (0)	54 (2)	51 (3)	51 (3)	49 (5)	0 (6)	0 (6)	0 (6)
149 (0)	142 (7)	133 (11)	125 (14)	97 (39)	93 (41)	90 (43)	89 (43)	2 (50)	1 (50)	0 (50)

71 (0)	70 (1)	68 (2)	64 (2)	57 (8)	54 (9)	52 (10)	51 (11)	0 (14)	0 (14)	0 (14)
67 (0)	65 (2)	61 (4)	58 (5)	49 (12)	49 (12)	49 (12)	47 (13)	1 (14)	0 (14)	0 (14)
68 (0)	64 (4)	61 (5)	57 (7)	42 (21)	39 (22)	38 (23)	38 (23)	1 (27)	1 (27)	0 (27)

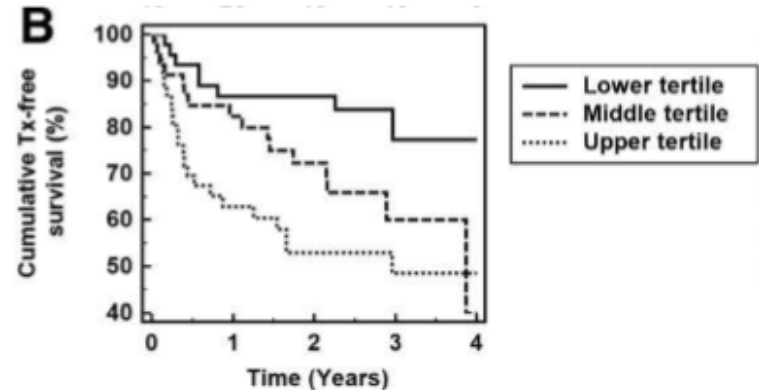
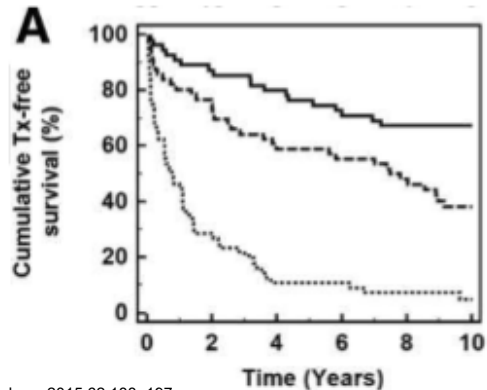
# Non-Worsening of Fibrosis Is Associated with a Reduced Incidence of Disease Progression



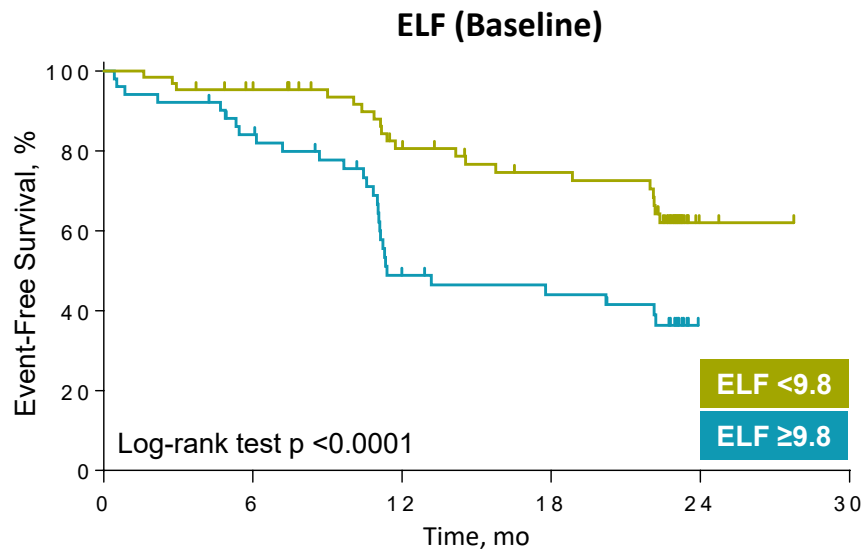
P-values by Fisher's exact test.

# Serum fibrosis markers

- Vesterhus et al. performed a retrospective analysis of ELF on two cohorts of patients with large duct PSC
  - Cohort 1: N=167, Median follow up of 4 years; Serum collected 1992-2006
  - Cohort 2: N=138, Median follow up of 2.2 years; serum collected 2008-2012
  - Actual tertile values not provided, but Youdon Index values were at 11.1 and 11.2 for the respective cohorts
  - In multivariate cox regression ELF (and also Mayo Score) showed independent association with transplant free survival in both cohorts of patients

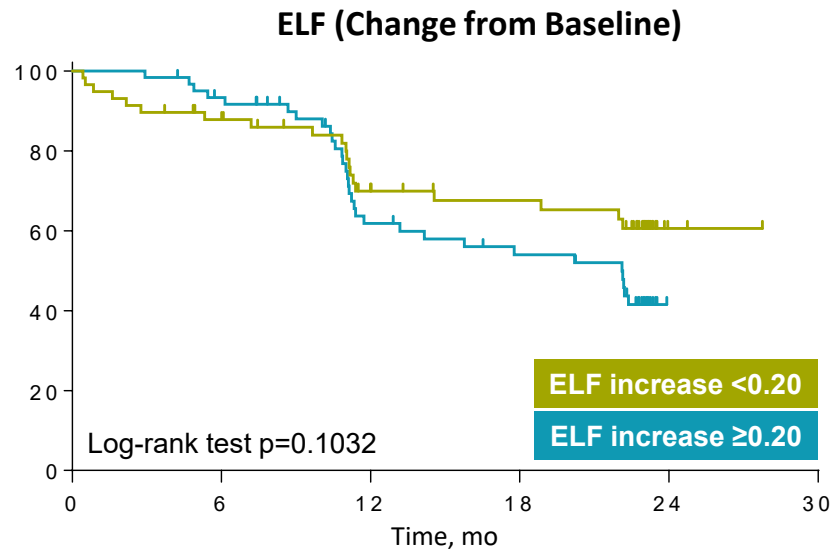


# Association Between ELF and Disease Progression



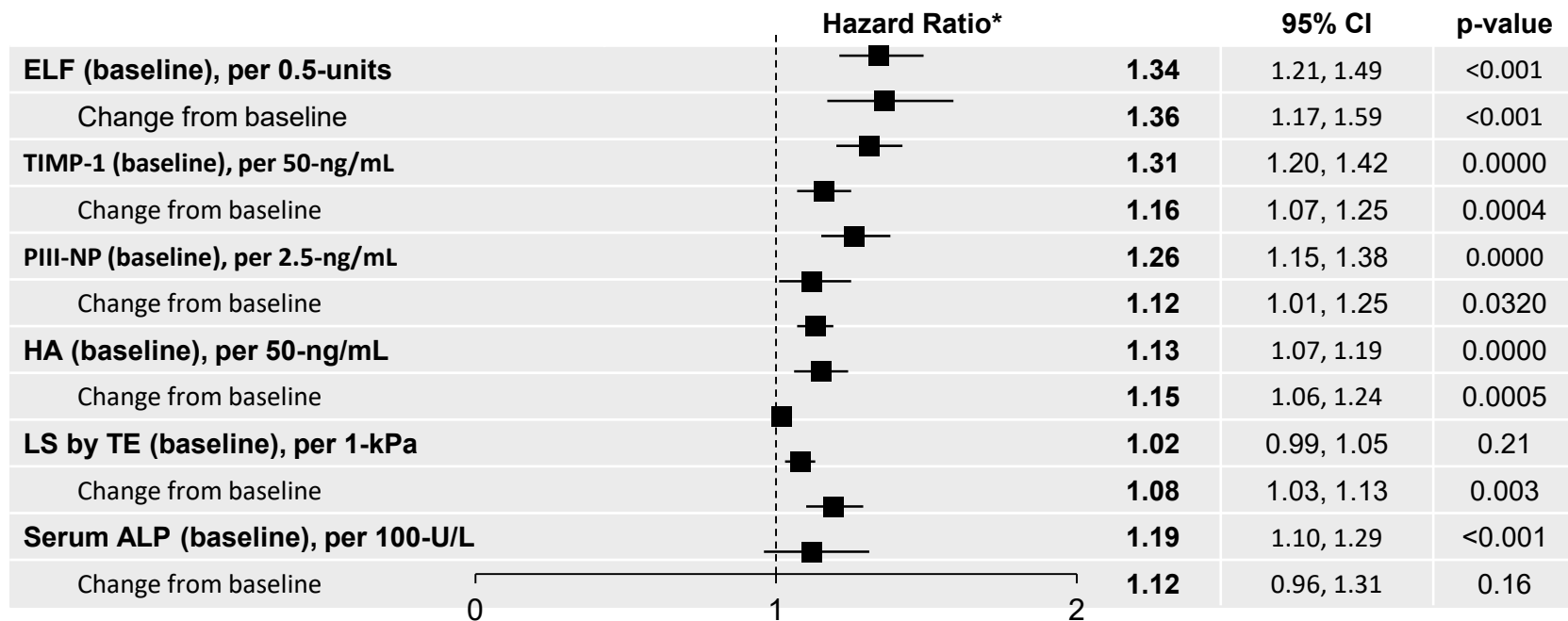
N at risk  
(Events)

135	132	129	123	113	108	106	105	2	1	0
(0)	(3)	(3)	(3)	(12)	(14)	(15)	(16)	(22)	(22)	(22)
74	70	64	59	38	36	35	33	0	0	0
(0)	(4)	(8)	(11)	(29)	(30)	(31)	(32)	(34)	(34)	(34)



104	98	94	89	76	72	72	71	2	1	0
(0)	(6)	(7)	(8)	(18)	(19)	(19)	(20)	(22)	(22)	(22)
105	104	99	93	75	72	69	67	0	0	0
(0)	(1)	(4)	(6)	(23)	(25)	(27)	(28)	(34)	(34)	(34)

# Associations Between Fibrosis Markers, ALP, and Disease Progression

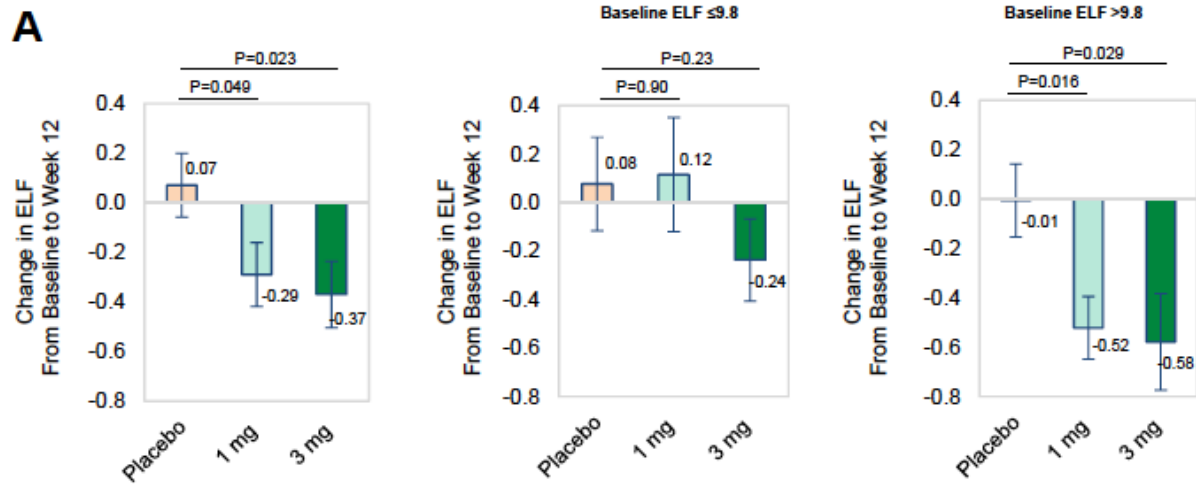


- Increased risk of events with:
  - Higher baseline ELF (and components) and serum ALP
  - Increases of ELF and liver stiffness, but not serum ALP

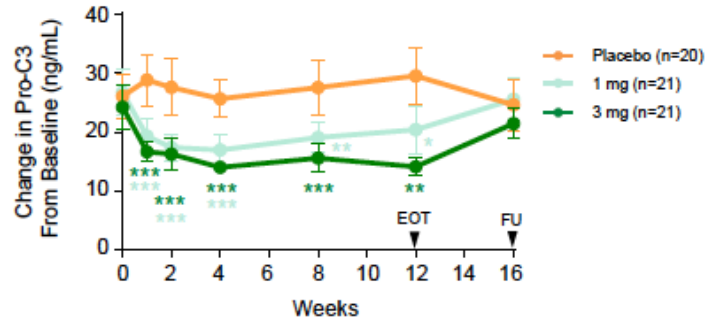
\* Separate multivariate models run with baseline and change from baseline for each variable.

# Pro-C3 and ELF in the NGM282 study

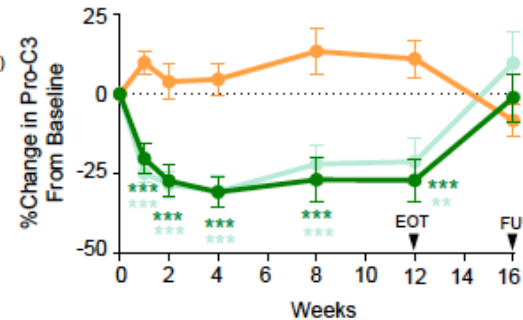
**A**



**B**



**C**





# Potential Biomarkers- Transient Elastography

The thresholds that predicted fibrosis stages F1, F2, F3, and F4 were 7.4, 8.6, 9.6, and 14.4 kPa (Figure A)

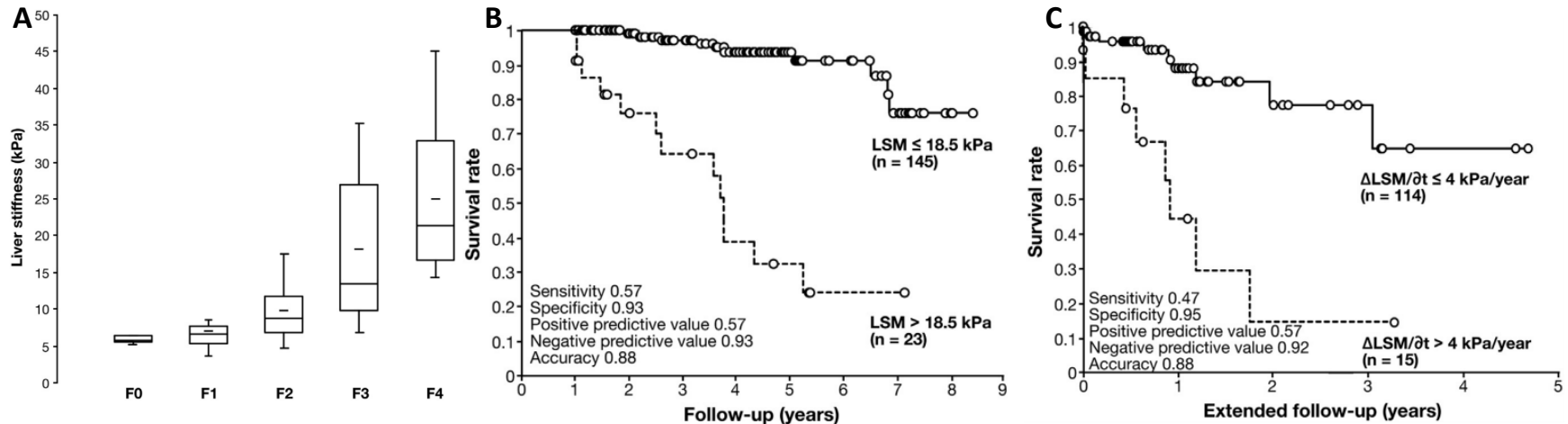
Evaluated Clinical outcomes in 168 patients with PSC with a mean follow up of  $3.9 \pm 1.9$  years

23 (14%) experienced clinical outcomes

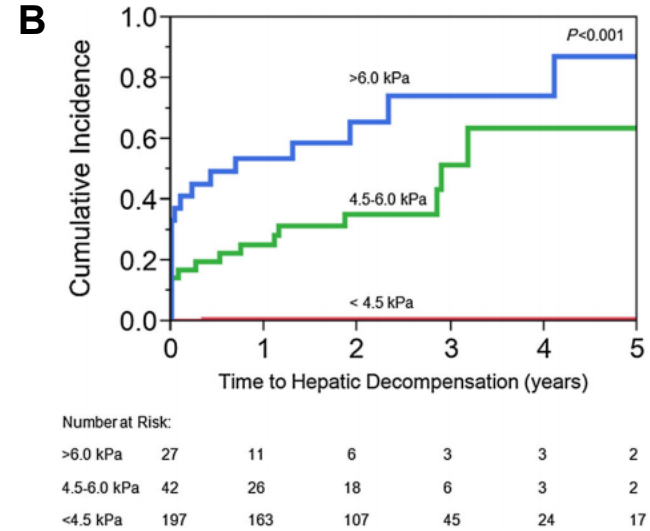
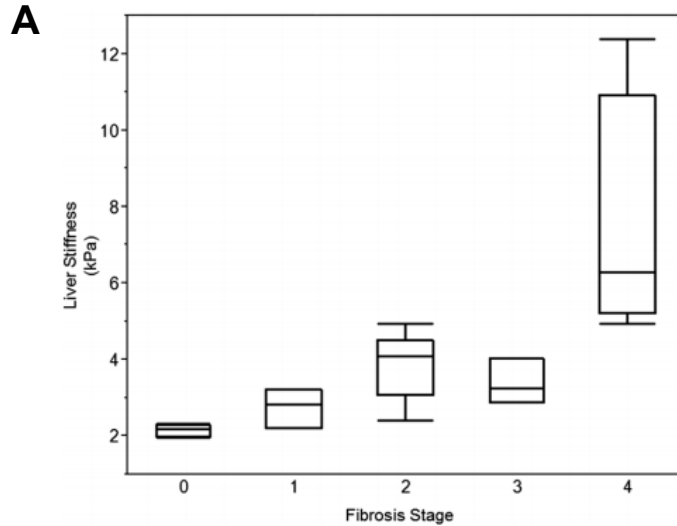
11 liver transplantations, 6 deaths (2 from cholangiocarcinoma, 2 from hepatocellular carcinoma, and 1 from liver failure), 6 hepatic complications (3 cases of ascites, 2 cases of variceal bleeding, and 1 case of hepatic encephalopathy)

Both baseline and rate of change in liver stiffness were shown to be prognostic of outcomes (Figure B/C)

**TE has limitations: operator inexperience, large increase with inflammation/acute episodes/dominant strictures**



# MRE



- Only 20 patients had biopsy info (F0, n=4; F1, n=3; F2, n=6, F3, n=3, F4, n=4); however, liver stiffness was still found to be strongly correlated with fibrosis stage ( $R=0.84$ ,  $P<0.001$ , Fig A)
- Patients who had baseline liver stiffness  $>4.5$  kPa had significantly increased risk of hepatic decompensation (Fig B)
- These results require further validation (this is the only paper on MRE in PSC)
- MRE has high cost/limited availability but may be more accurate than TE and can be combined with MRCP in a single visit for more

# Prognostic Models

Mayo Clinic Model	King's College Model	Multicenter Model	Revised Mayo Model	Amsterdam-Oxford Model	PREsTo
<b>Predictors of Survival</b>					
Age	Age	Age	Age	Age	Age
Bilirubin	Hepatomegaly	Bilirubin	Bilirubin	Bilirubin	Bilirubin
Histologic stage	Histologic stage	Histologic stage	Albumin	Albumin	Albumin
Hgb	Splenomegaly	Splenomegaly	AST	AST	AST
IBD	Alkaline phosphatase		Variceal bleeding	Alkaline phosphatase	Alkaline phosphatase
				Platelets	Platelets
				PSC subtype	Duration of PSC
					Sodium
					Hemoglobin

# UK-PSC score

AGE - AT DIAGNOSIS

18

BILIRUBIN - AT DIAGNOSIS

Units

BILIRUBIN - AT YEAR 2

Units

umol/l

↕

umol/l

↕

ALBUMIN (Alb) g/l - AT DIAGNOSIS

ALBUMIN (Alb) g/l - AT YEAR 2

PLATELETS (Plts)  $\times 10^9/l$  - AT DIAGNOSIS

PLATELETS (Plts)  $\times 10^9/l$  - AT YEAR 2

HAEMOGLOBIN (Hb) g/l - AT DIAGNOSIS

ALKALINE PHOSPHATASE (ALP) units/l - AT YEAR 2

ALKALINE PHOSPHATASE (ALP) units/l - ULN

DISEASE TYPE - AT DIAGNOSIS

- No extra-hepatic disease
- Presence of extra hepatic disease

VARICEAL BLEED?

- No bleed by year 2
- Variceal bleed by year 2

SHORT-TERM RISK SCORE (RS<sub>ST</sub>) - CALCULATED AT DIAGNOSIS

Min. Data for Short-term Score Not Entered

PREDICTED SURVIVAL RATE 2 YEARS (%)

Min. Data for Short-term Score Not Entered

- Calculate 1 Year Survival
- Calculate 2 Year Survival

LONG-TERM RISK SCORE (RS<sub>LT</sub>) - CALCULATED AT 2 YEARS POST DIAGNOSIS

Min. Data for Long-term Score Not Entered

PREDICTED SURVIVAL RATE 5 YEARS (%)

Min. Data for Long-term Score Not Entered

- Calculate 5 Year Survival
- Calculate 8 Year Survival

# Clinical outcomes of oral vancomycin therapy in pediatric primary sclerosing cholangitis

## Objective:

To assess whether oral vancomycin therapy (OVT) prevents adverse liver outcomes in children with PSC

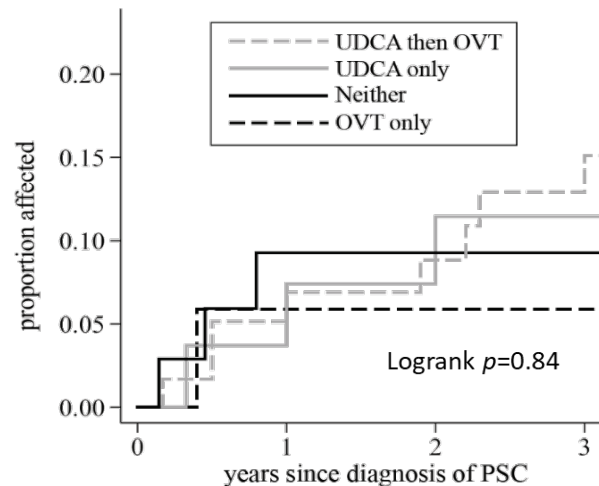
## Methods:

Multicenter analysis of the occurrence of portal hypertensive complications, dominant stricture interventions, or liver transplantation within 3 years of PSC diagnosis in children treated with ursodeoxycholic acid (UDCA), OVT only, OVT after a UDCA trial, or nothing

## Conclusions:

We reported the largest cohort of children with PSC treated with OVT to date. Adverse outcomes occurred at similar rates regardless of treatment with OVT, UDCA, or nothing.

Event-free survival after diagnosis of PSC



Number at risk	0	1	2	3
UDCA then OVT	27	26	23	17
UDCA only	60	54	46	40
Neither	35	27	20	15
OVT only	22	16	12	9

# Proving It Works...

## IPSCSG statement 2

Alkaline phosphatase is widely recognized as a clinical measure of cholestasis. Currently, albeit not formally validated, it is regarded as a potential surrogate outcome parameter [EL 4, RG D]



In early phase studies bloods alone are ok to show a drug may work

## IPSCSG statement 4

Liver histology has the potential to be a robust surrogate endpoint for clinical trials in PSC [EL2b, RG B]



Liver biopsy is likely solid evidence a treatment works

## IPSCSG statement 5

In the absence of a convincing single surrogate endpoint combining multiple endpoints is considered advisable and should be explored further [EL 5, RG D]



The next drug will probably be shown to work by looking at a combination of endpoints alongside long term extension studies