

# Lessons Learned from use of Real-World Data as External Controls

---

**Bettina E Hansen**

Toronto Center for Liver Disease, UHN  
University of Toronto

# Introduction

## Use of real-world data/evidence<sup>1,2</sup>

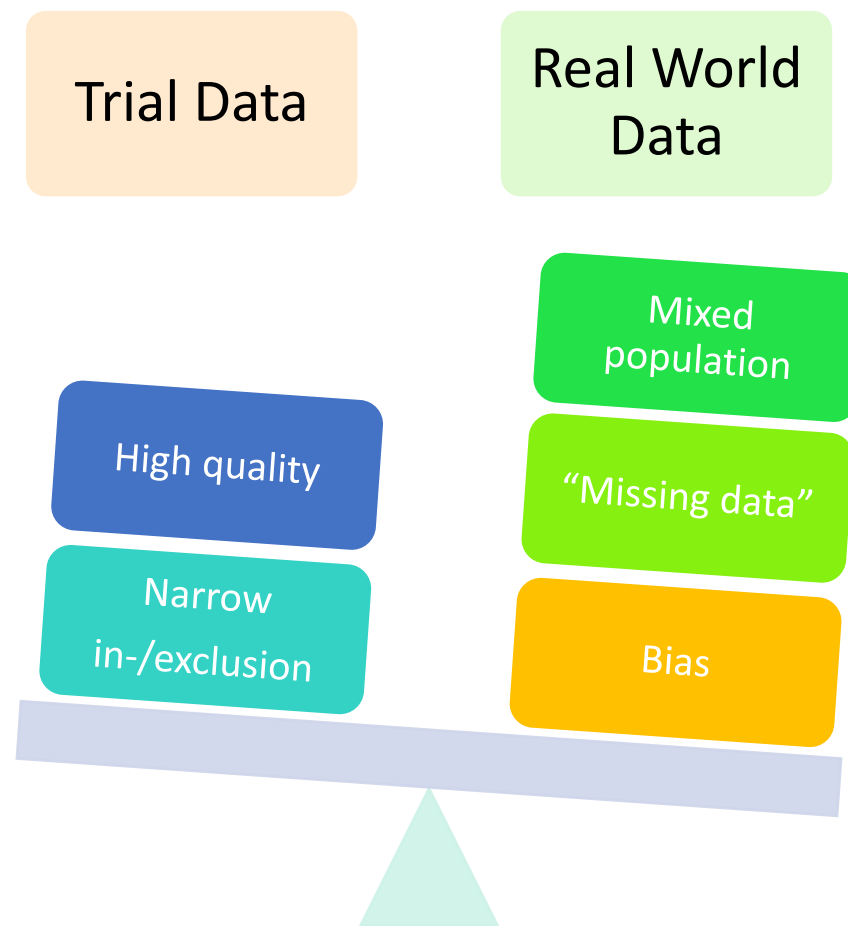
- Describe natural history of disease
- Identify risk factors
- Post-marketing surveillance
- **Use external controls as comparator with treated patients**
  - When unmet need
  - Difficult to perform RCTs
  - Rare disease, paediatric population, long follow-up required

## Examples treated patients without control arm

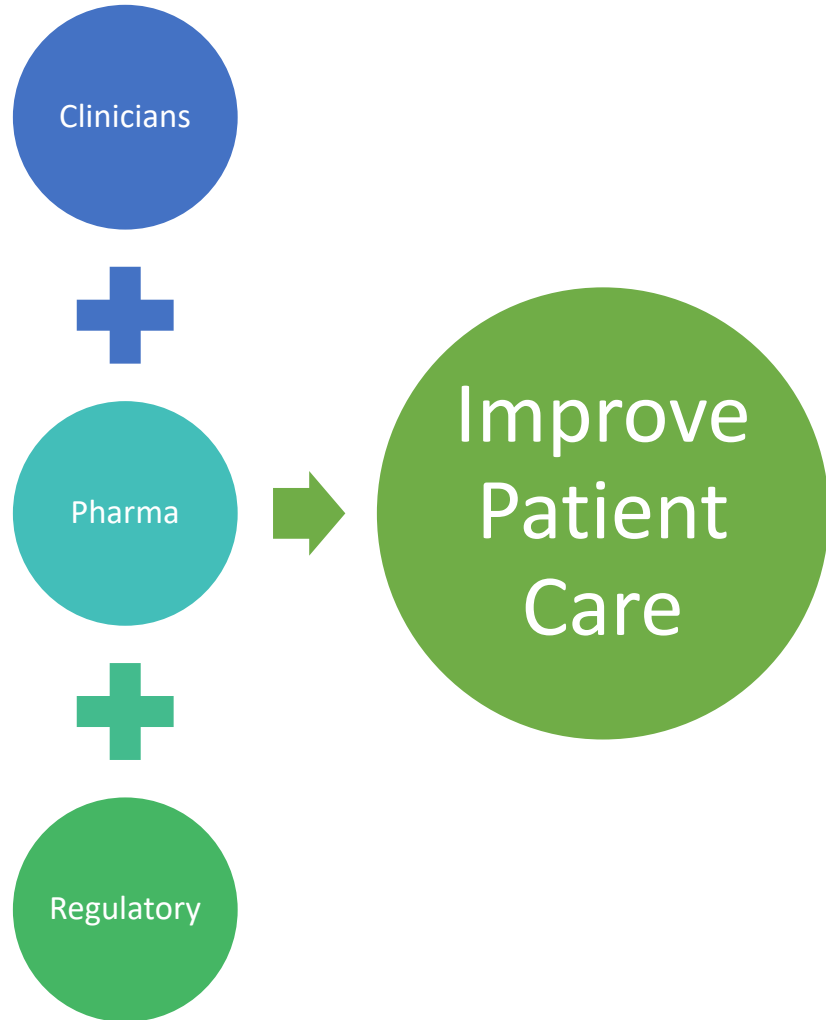
- Phase 2 study in rare paediatric disease
  - extended long term follow-up
  - all treated
- Phase 3 study of rare disease in adults
  - extended long term follow-up
  - all treated
  - placebo roll over after end phase 3
- External Control comparisons needed to understand if treatment improves event free survival

# Is it feasible to use RWD as External Controls?

---



# Is it feasible to use RWD as External Controls?



## Stakeholders

- Pharma: trial data
- Independent researchers / scientists: RWD
- Regulatory: guarding the integrity

## Collaboration: willingness, transparency and trust

- Data sharing
  - Protocol
  - Statistical Analysis Plan
- 
- Analysis conducted independent from pharma  
*Consider bringing in an independent partner (stats team)*

# RWD

## Real World Data

### *High bar of standardization and quality*

- Prospective/ Hybrid/ Retrospective
- REB, Data Sharing, e-CRF
- Completeness, accuracy, and consistency
- Standardized outcome assessment
- Adjudication criteria
- Quality control
- Audits

## Examples Real World Data

- The GLOBAL PBC Study Group: studies on primary biliary cholangitis (PBC)



- PBC a rare chronic autoimmune liver disease, slowly progressive

- GALA: the global Alagille Alliance Study



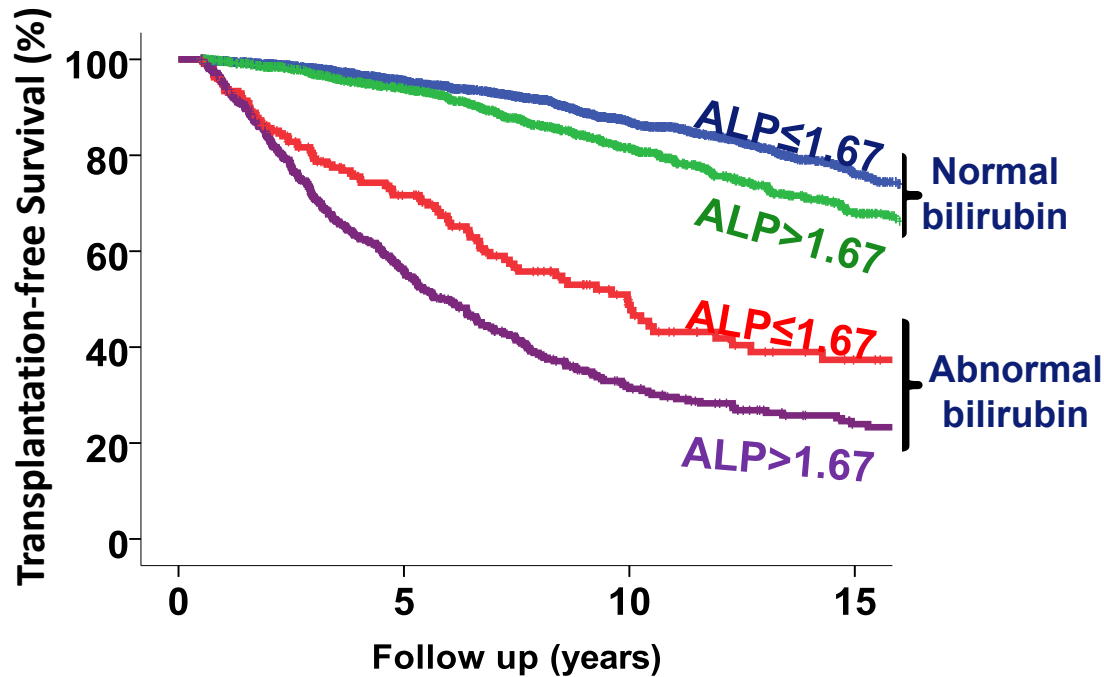
- Alagille syndrome (ALGS): a rare, autosomal dominant disorder, characterized by high- $\gamma$ -glutamyltransferase (GGT) cholestasis in children

# Examples Real World Data



Launched in 2012

Retrospective, >6000 patients, 40.000 visits,  
40 sites from 18 countries (1,2)

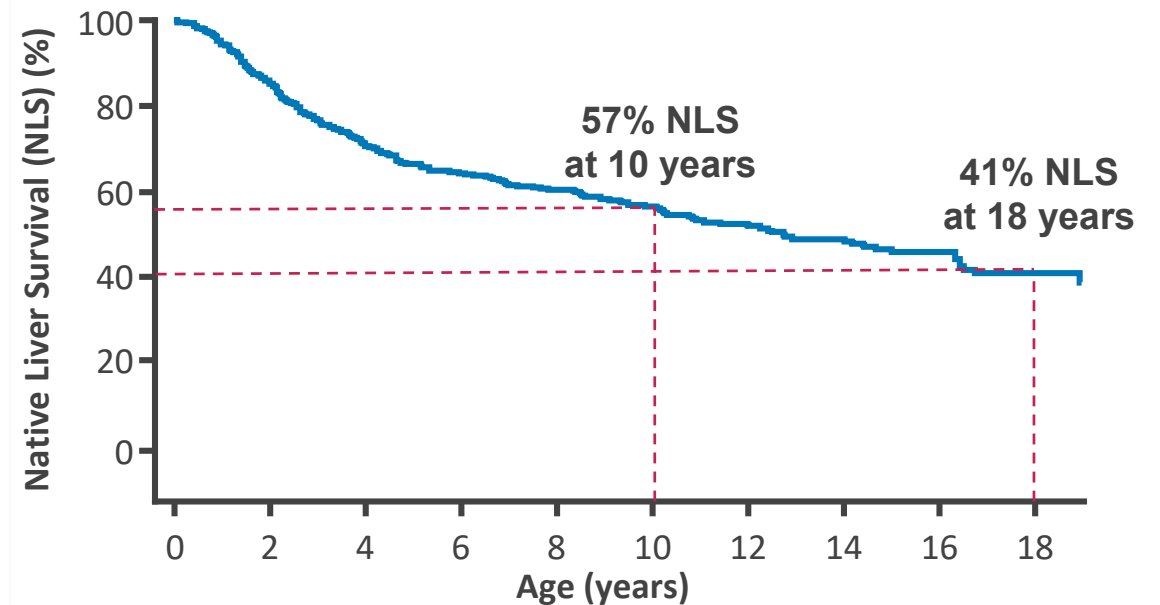


1. Lammers W, et al. AASLD 2014 (oral presentation); 2. Lammers et al., *Gastroenterology* 2014



Launched in 2018

Retrospective, >1400 patients, 12.000 visits,  
56 sites from all regions of the world (3,4)



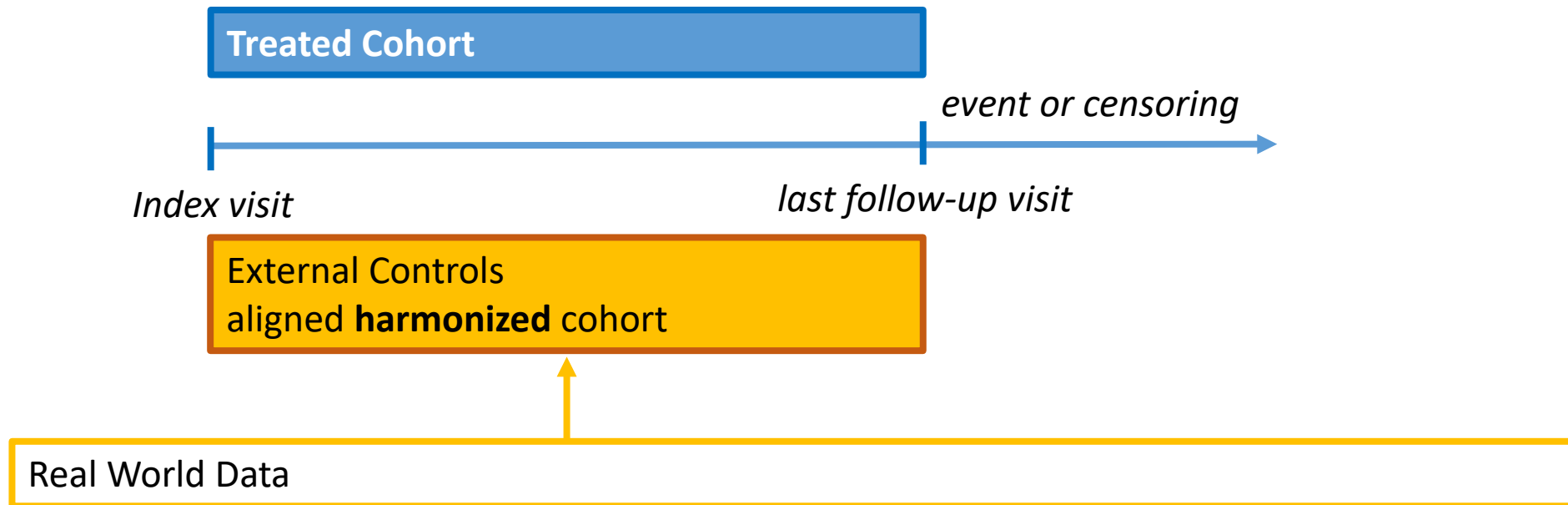
3. Vandriel SM, et al. EASL 2020 (oral presentation); 4. Kamath BM, et al. *Hepatology* 2020; 4:387–398.

# Primary aim

---

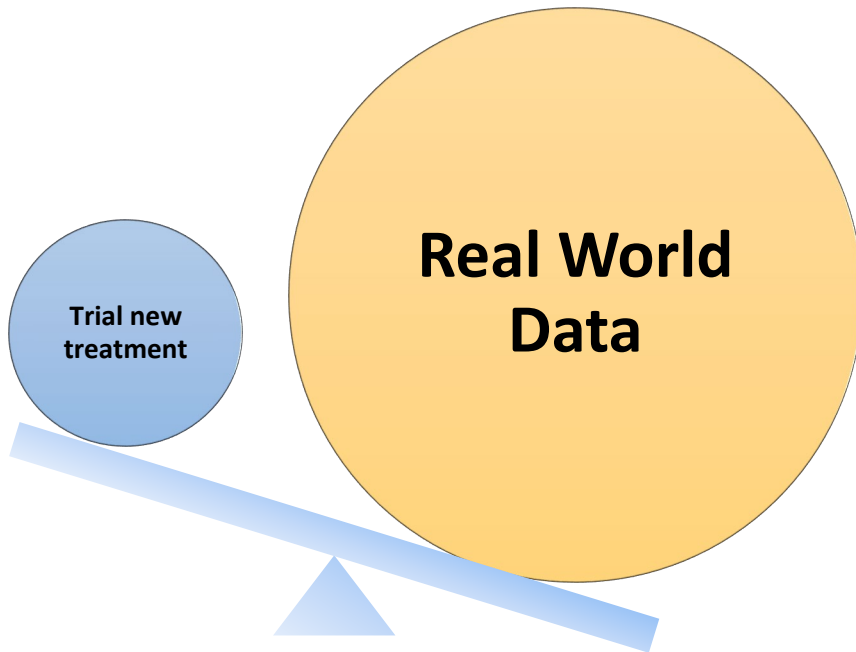
To compare time to clinical event in treated patients with external controls

*Examples PBC and Alagille: Event defined as liver transplantation or death*



# Harmonize Design

---



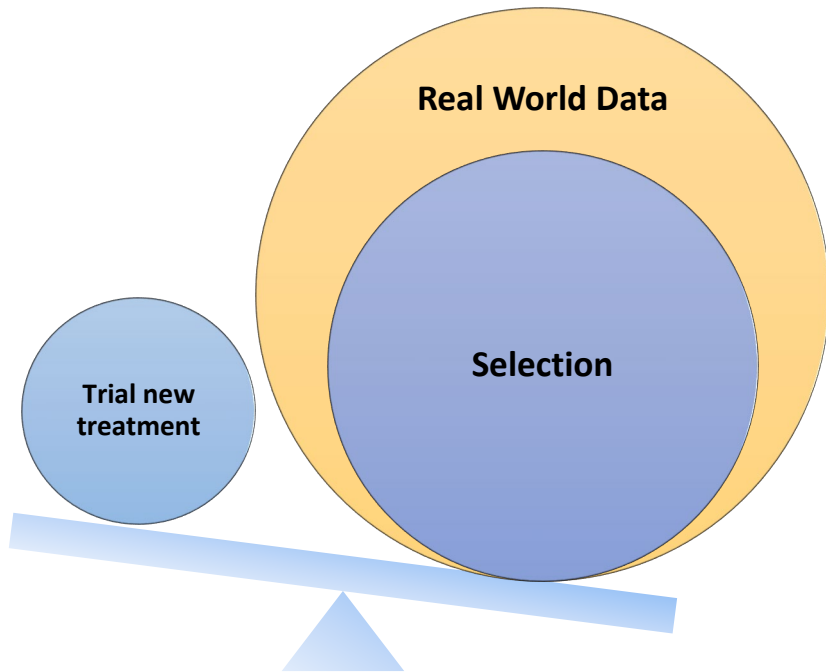
## Feasibility assessment

- Quality of data
  - Outcome(s) – use same definition
  - Lab-values – different labs, ULN, unit
  - Patient factors
  - Investigate completeness
  - Identification of confounders
- 
- Power analysis: pre-specified effect size or min. clinical relevant effect size



# Identification of Patients & Visits

---



## Selection process

- Apply aligned inclusion/exclusion criteria
- Overlay sites / regions
- Overlay calendar time / SOC treatment

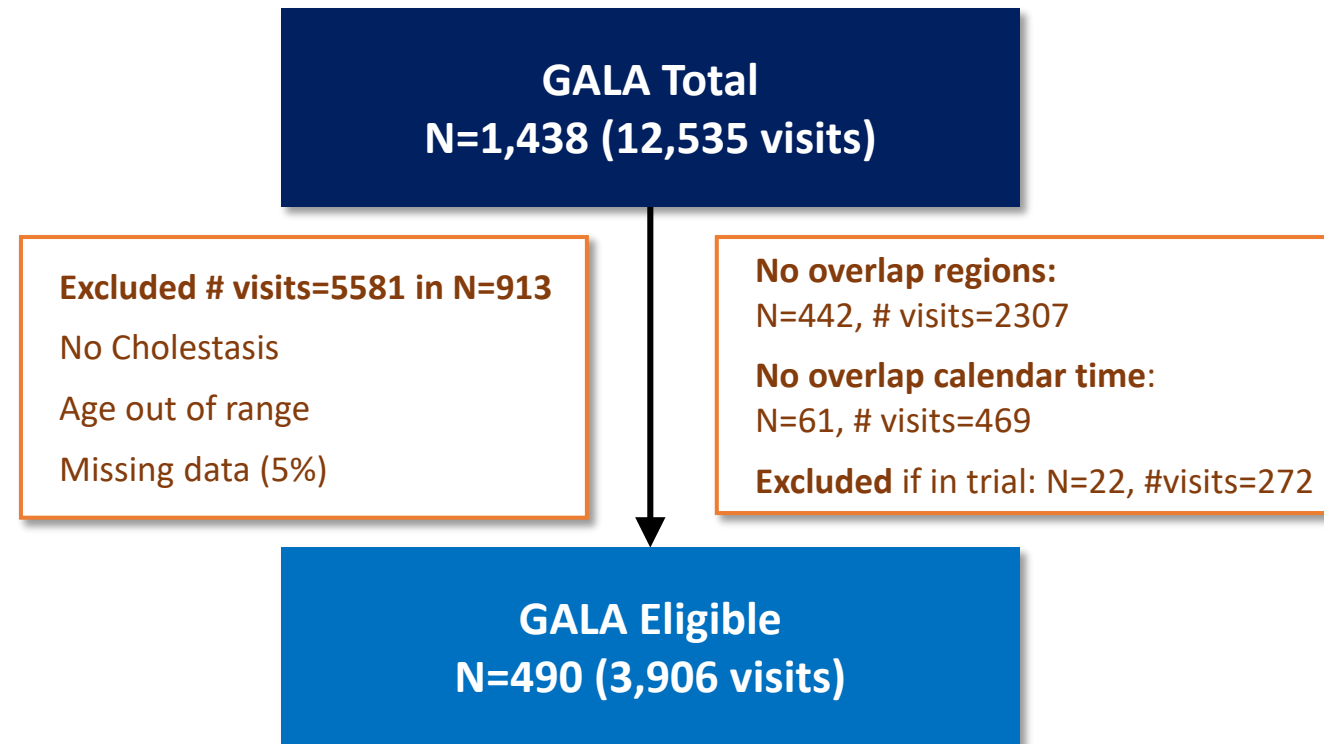
# Example external controls selection Alagille

Alagille phase 2 trial: inclusion severe cholestasis, age 1-18yr

RWD = External controls from GALA

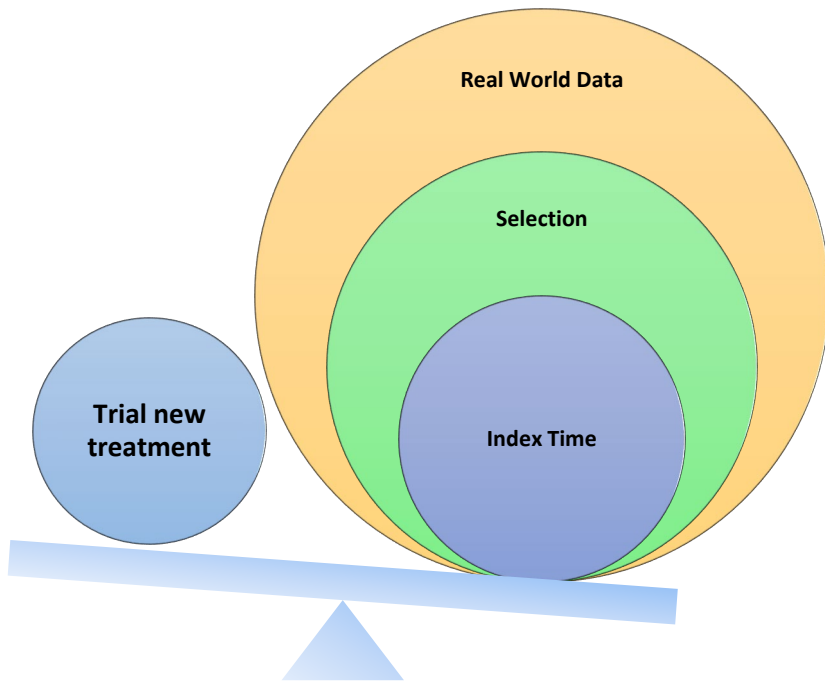
## Identification of patients and visits:

- A patient may be eligible with multiple visits



# Index visit

---



## Choice of Index Time = start of follow-up

- First visit
- Confirmatory visit
- Random visit(s)
- Last visit
- Other methods: multiple visits, ML-method

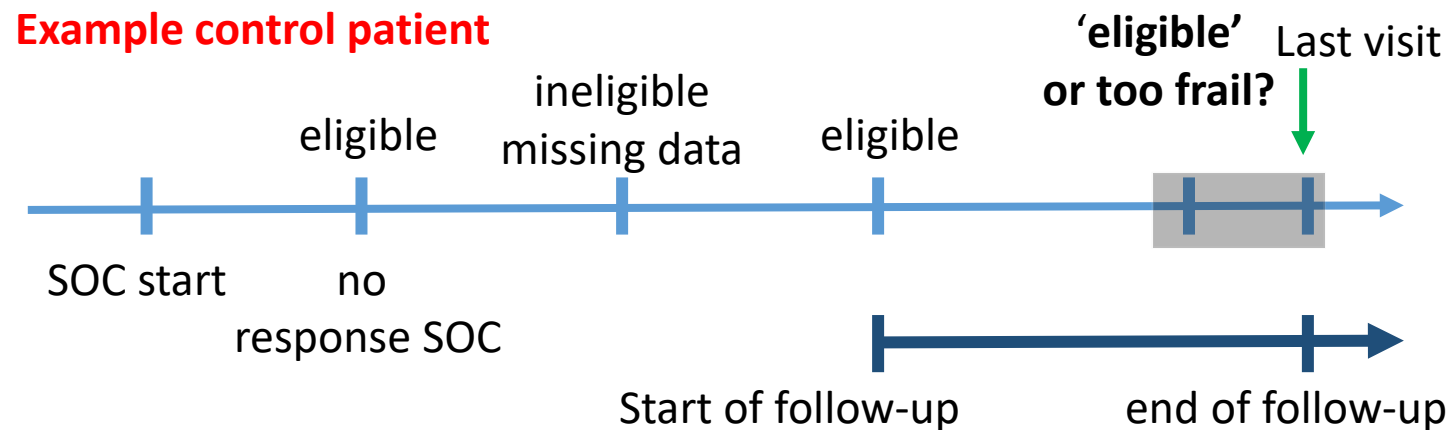
# Example external controls selection PBC

PBC – phase 3 trial: inclusion non-response to SOC treatment

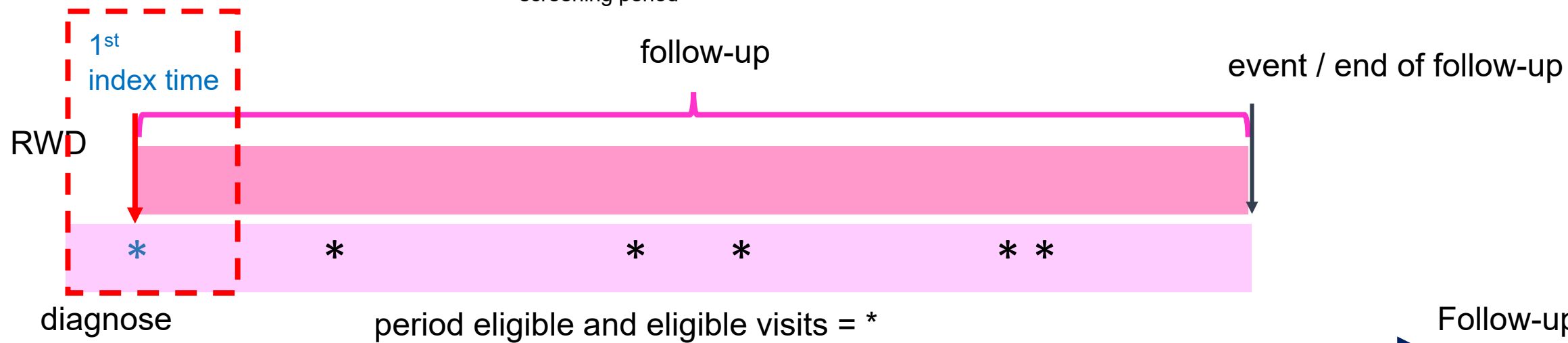
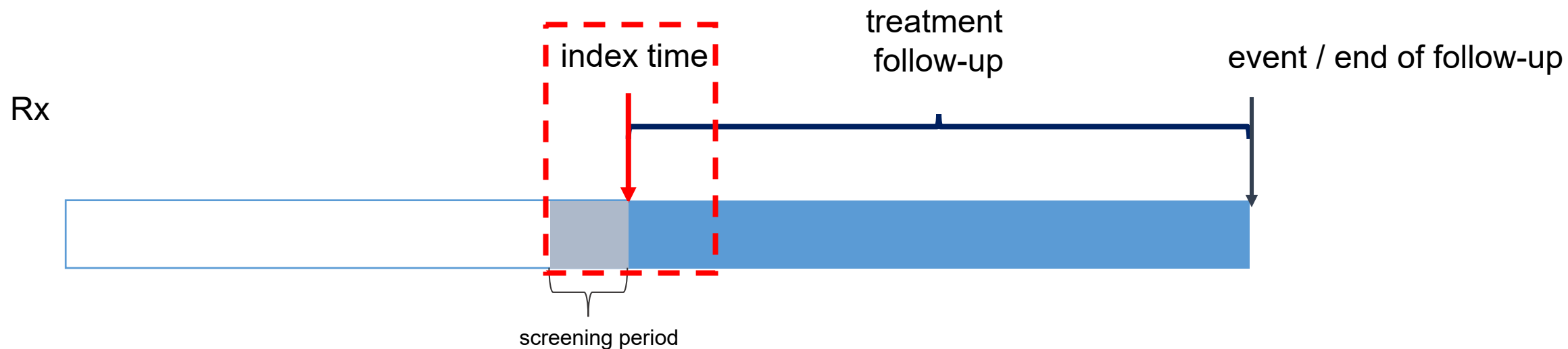
External controls from GLOBAL PBC

Step-wise selection procedure of patients/visits:

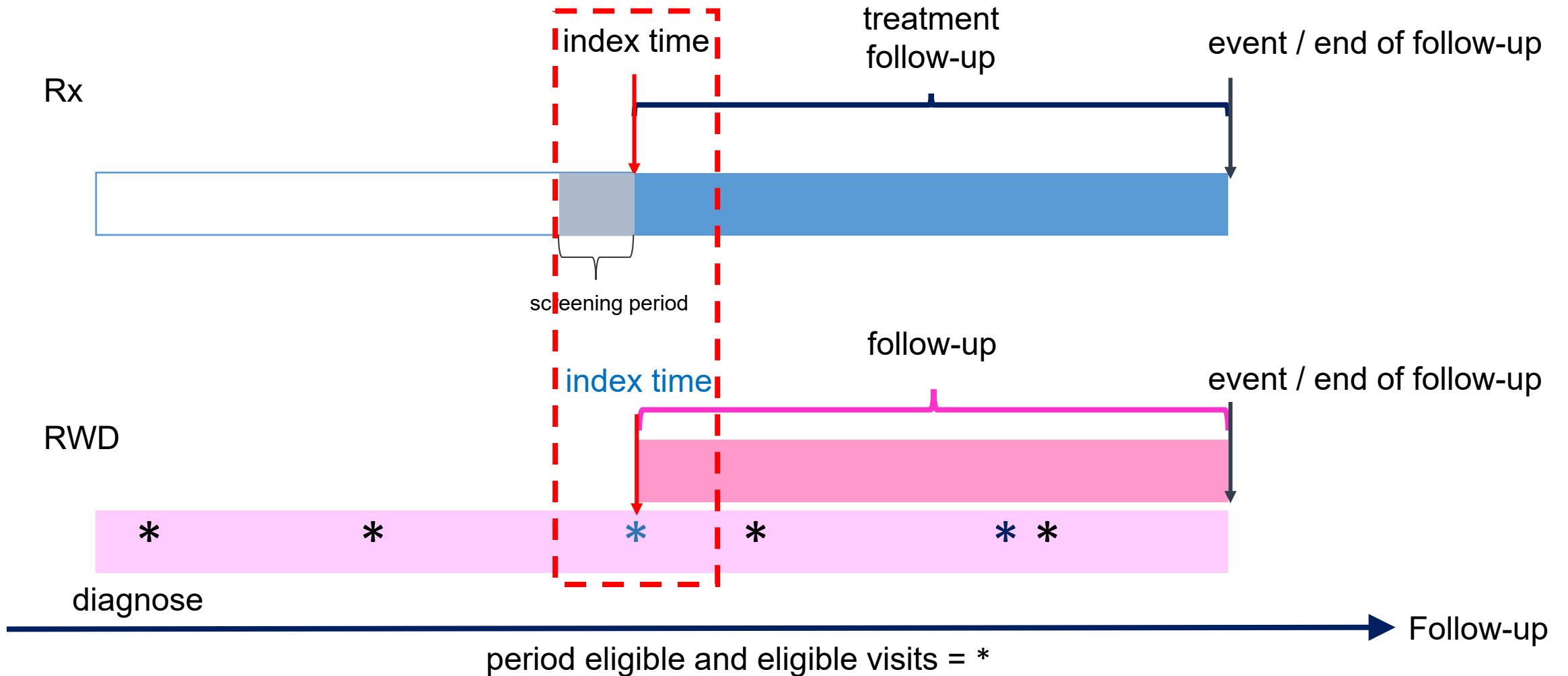
- **Identification of patients and visits:** 1391 patients identified with a mean of 4.8 eligible visits pp
- **Selection of index time = start of follow-up – avoid immortal time bias**



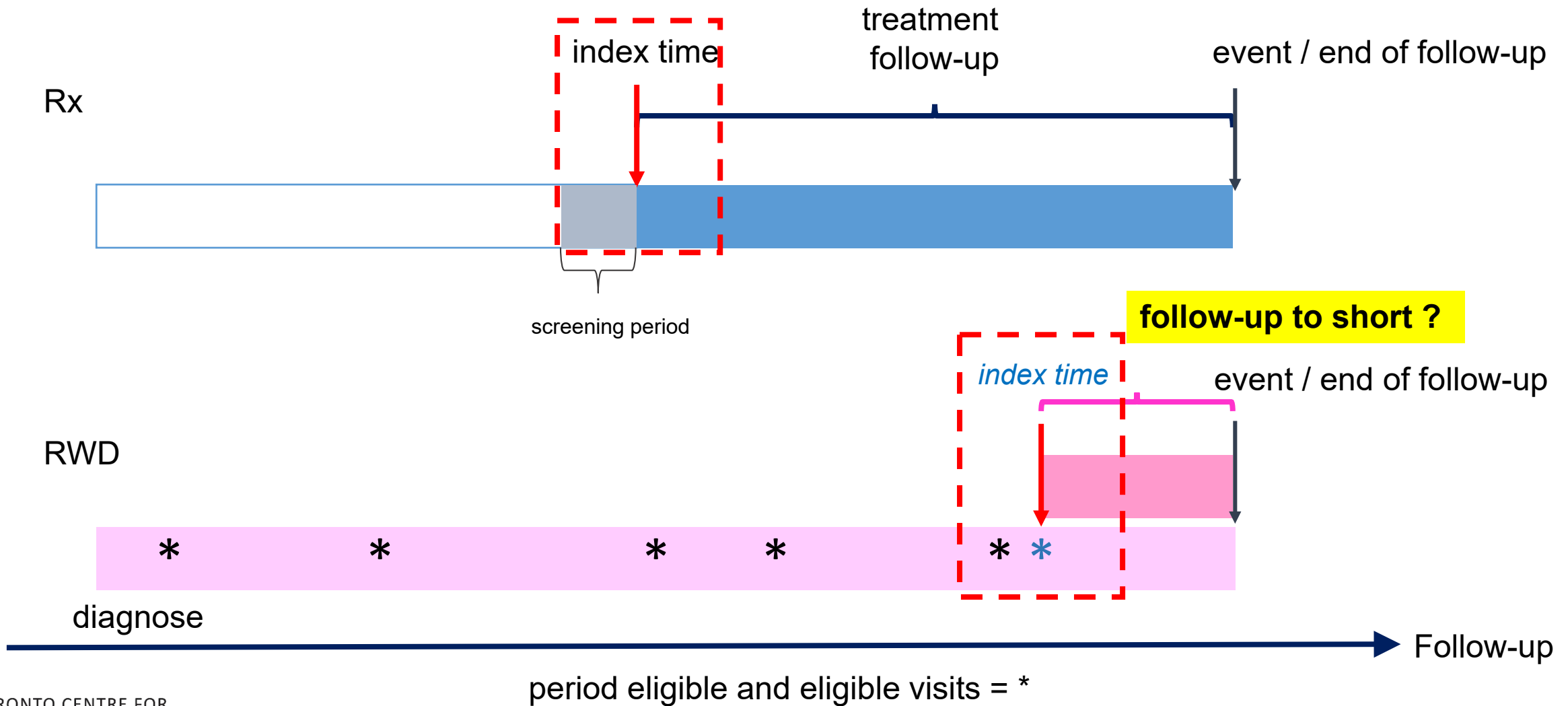
# Selection of index time



# Selection of index time

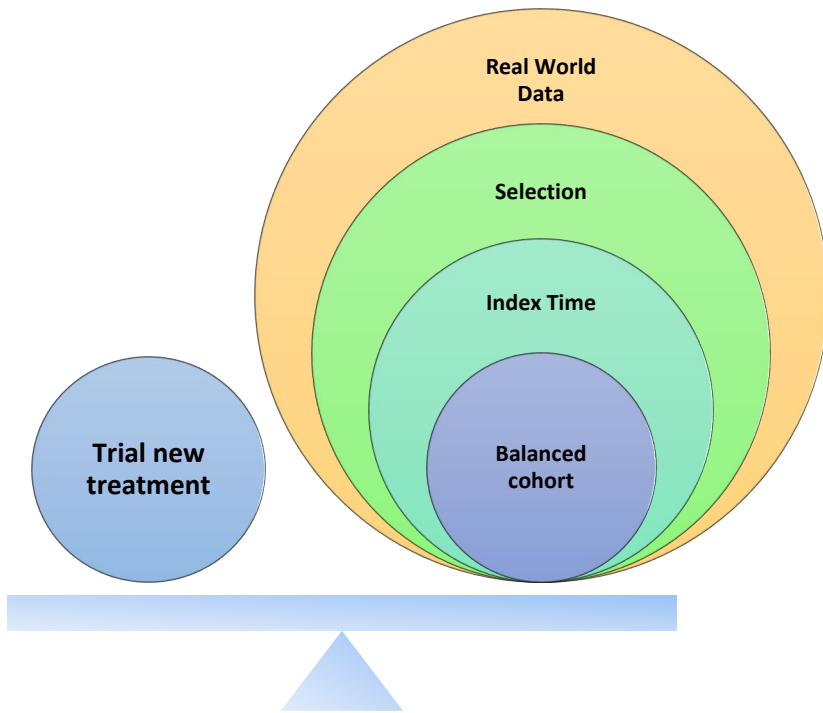


# Immortal time bias – too frail for inclusion?



# Balanced design using weights

---



## Assessment of balance

- pre-specified check and tests
- Estimate weights
  - Propensity scores
  - IPTW
  - ATT weights



# Harmonize Design

## Feasibility assessment

- Define outcome, confounders
- Quality of Lab-values, patient and disease factors, missingness
- Power analysis

## Selection

- Apply aligned inclusion/exclusion criteria
- Overlay sites / regions / calendar time

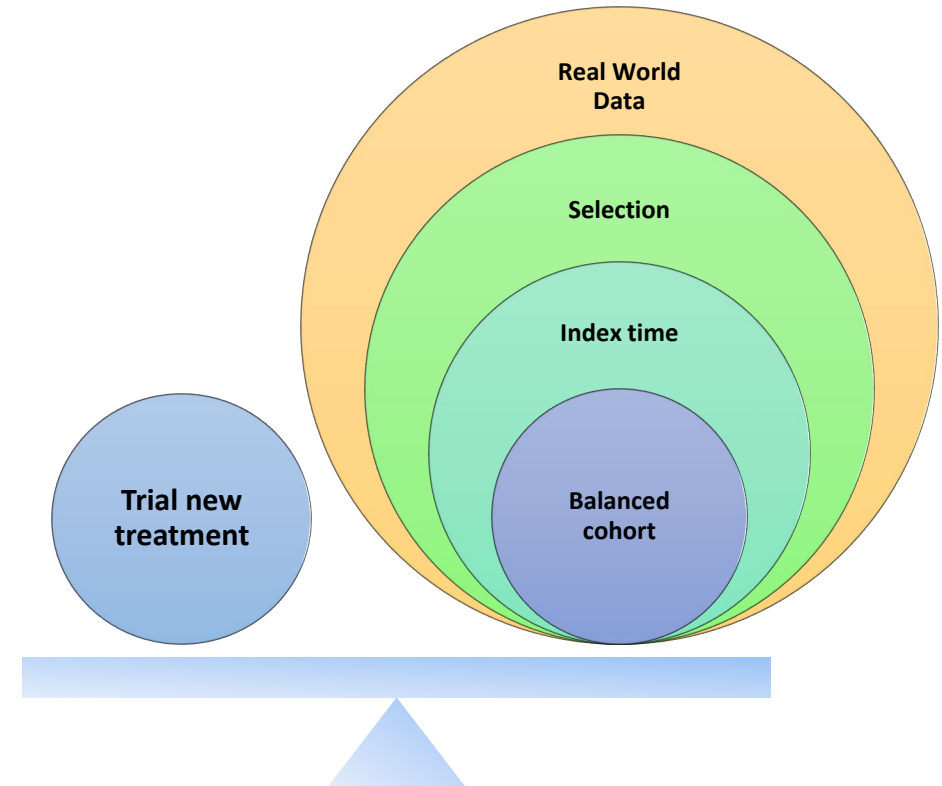
## Index Time

- First visit, confirmatory visit, random visit(s), last visit, other methods

## Assessment of balance

- pre-specified check and test
- weights: propensity scores, IPTW, ATT, ...

Firewall:  
blinded for outcome



# Analysis of time to event

Firewall off  
un-blinded for outcome

## Rx arm

- Check for informative censoring

## Composite endpoint

- Characterize type of events over time in both Rx arm and RWD-selection

## Analysis of endpoint

- Kaplan-Meier and Cox regression methods
- Crude effect
- Weighted
- Adjusted for confounders

## Sensitivity analyses

- Range of selection of index time
- Pruning of time to avoid immortal time bias

## Subgroup analysis

- Concurrent calendar time
- Same region/ sites/or different sites

# Lessons learned and discussion points

---

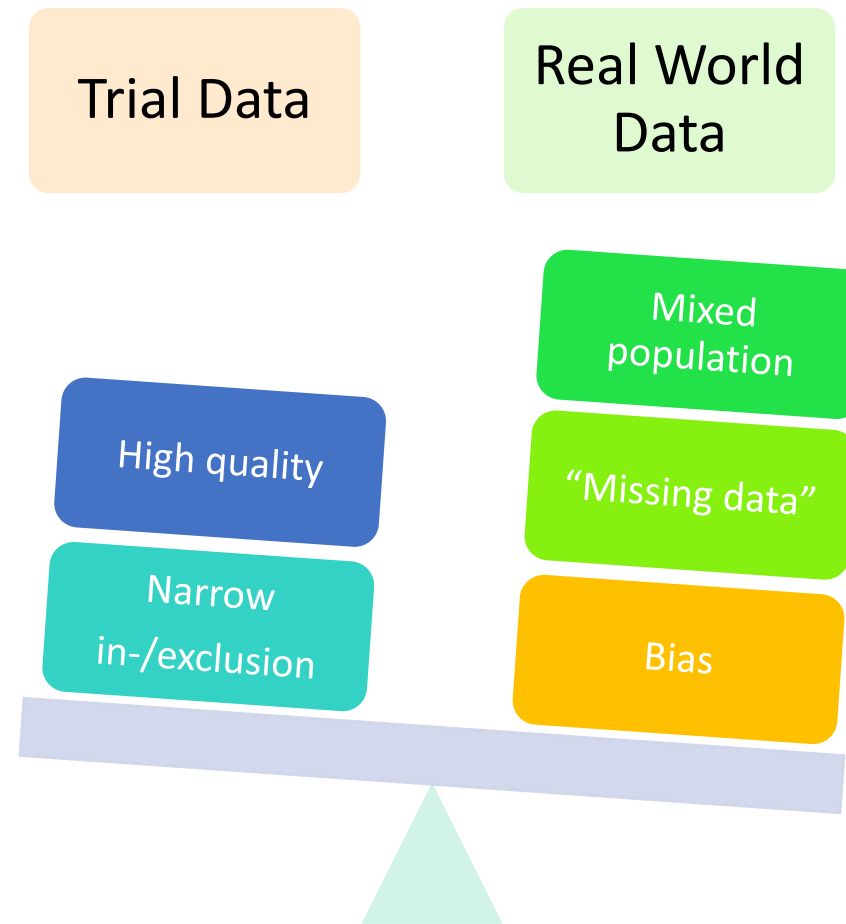
## Pros +

- Enthusiasm for collaboration is huge
- Open for ideas and improvement of methodology
- Improvement of understanding effect size through multiple sensitivity and subgroup analysis
- Validate findings with second RWD

## Cons -

- Challenge to assess quality
- No safety data
- Immortal time bias
- Challenge to get all right legally, ethical
- Publication and stakeholders

# Is it feasible to use RWD as External Controls?

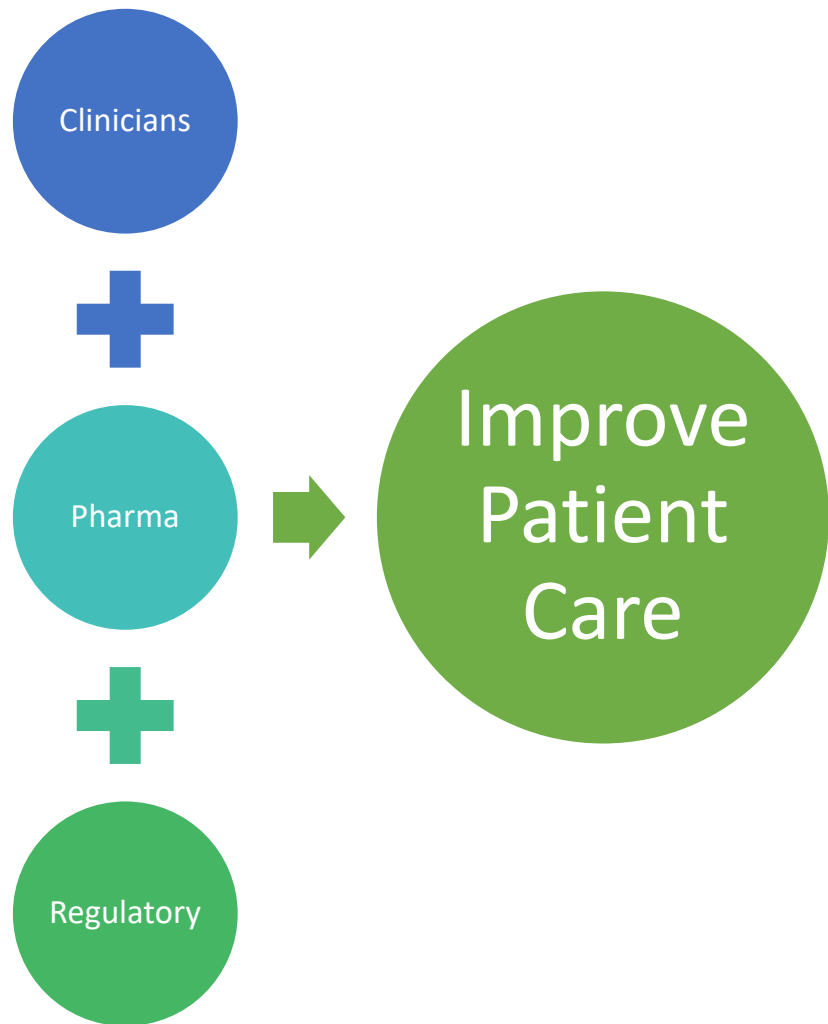


*A collaborative strong need to improve methodology*

*A need for quality measures of RWD*

# Is it feasible to use RWD as External Controls?

---



*A strong need to create easier pathways for collaboration*